



One State Street, New York, NY 10004

An Equal Opportunity/Affirmative Action Employer

Announcement of Intention to Fill Multiple Job Vacancies

Financial Services Examiner 1, G-18

(Position may be filled at the Trainee level)

Location: Various location throughout the state. The majority of the positions are located in Albany and New York City.

Negotiating Unit: Professional, Scientific and Technical (PS&T)

Salary:

\$55,494 - \$70,566	(Grade 18 level)
\$49,727 - \$63,293	(Trainee 2 level)
\$44,564 - \$56,888	(Trainee 1 level)

Appointees who work in the five boroughs of New York City or in Nassau, Suffolk, Rockland or Westchester Counties will receive an additional \$3,026 annual downstate adjustment. Appointees who work in Dutchess, Orange, or Putnam Counties will receive an additional \$1,513 annual mid-Hudson adjustment.

Appointment Status: Permanent

ABOUT THE DEPARTMENT OF FINANCIAL SERVICES:

The Department of Financial Services's (DFS) mission is to reform the regulation of the financial services industries, including insurance and banking, to keep pace with the rapid and dynamic evolution of these industries, guard against financial crises, and protect consumers and markets from fraud.

DFS is recruiting for Financial Services Examiners to help the Department keep pace with the fast-paced and ever-evolving financial services industry, and provide bold regulatory leadership to meet the challenges of money laundering, terrorist financing, cyber security, affordable health care, mortgage and insurance fraud, and consumer protection, among others.

DUTIES:

As a **Financial Services Examiner 1**, you would monitor various types of financial services activities according to the statutory responsibilities of the agency; review and analyze different types of documents, transactions, operations and data for compliance with Financial Services laws, rules, and regulations; assist parties such as consumers and financial services institutions in resolving problems by interpreting program guidelines and regulations; prepare narrative reports and draft correspondence concerning the agency's regulatory and examination programs and standards for financial services entities; personally handle routine regulatory activities; and other appropriate and occupationally related duties as assigned.

MINIMUM QUALIFICATIONS:

Qualifications are based on Education and Experience. To qualify, you must have either a bachelor's degree or higher in accounting, auditing, economics, econometrics, business economics, finance, financial administration, mathematics, applied mathematics, mathematical sciences, statistics, actuarial science, or taxation; **OR** a bachelor's degree or higher including or supplemented by 24 semester credit hours in accounting, auditing, economics, econometrics, business economics, finance, financial administration, mathematics, applied mathematics, mathematical sciences, statistics, actuarial science, or taxation.

Workers with Disabilities Program: Candidates eligible for consideration under the Governor's Program to Hire Persons/Veterans with Disabilities pursuant to § 55b/c of the Civil Service Law may also be considered. Individuals certified eligible for the 55b/c program must meet the minimum qualifications for the open-competitive examination as listed above to be qualified. A copy of your letter of eligibility must be included with your response.

Transfer (70.1): Candidates must have one year of permanent competitive service in a title eligible for transfer via § 70.1 of the Civil Service Law. Information regarding transfer eligibility can be found on the Civil Service Career Mobility Office website at <http://careermobilityoffice.cs.ny.gov/cmof/>.

Transfer (70.4): Candidates must meet the following criteria to be considered for transfer under § 70.4 of the Civil Service Law: 1). Possess one year of permanent competitive service in a title allocated at Grade 12 or higher; 2). Meet the minimum qualifications for the open-competitive examination as listed above; 3). File for the open-competitive examination and receive a passing score.

To Apply under the 55 b/c program or via 70.1 or 70.4 transfer, mail your resume, and cover letter to the email address listed below. Please include (Box FSE18) in the subject line of your email to ensure receipt of your application. Email submissions are preferred:

New York State Department of Financial Services
Office of Human Resources Management
Attn: Ryan Taratus /Box FSE18
One Commerce Plaza
Albany, NY 12257
Email: Ryan.Taratus@dfs.ny.gov Subject: Box FSE18
Fax: (518) 402-5071

Note:

To Apply via the Open Competitive Examination, see the How To Apply section in the link below:
<https://www.cs.ny.gov/examannouncements/announcements/oc-cr/decentralized/20-081.cfm>

All candidates that apply may not be scheduled for an interview

AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job. If the accommodation would constitute an undue hardship on the operations of the agency, the agency is not required to provide it.

Pursuant to Executive Order 161, no State entity, as defined by the Executive Order, is permitted to ask, or mandate, in any form, that an applicant for employment provide his or her current compensation, or any prior compensation history, until such time as the applicant is extended a conditional offer of employment with compensation. If such information has been requested from you before such time, please contact the Governor's Office of Employee Relations at (518) 474-6988 or via email at info@goer.ny.gov