

New York State Insurance Department

ISSUED: 01/4//08

FOR IMMEDIATE RELEASE

NEW YORK STATE INSURANCE DEPARTMENT TAKES DISCIPLINARY ACTIONS AGAINST COMPANIES, AGENTS, BROKERS & ADJUSTERS

The New York State Insurance Department has taken disciplinary action against the following licensees. Those categorized as stipulations have been agreed to by the licensee. Department actions that result from Department hearings are subject to judicial review and possible stay of enforcement.

INSURANCE COMPANIES

LICENSEE	ADDRESS	PENALTY
Eastern Vision Plan, Inc.	3333 Quality Drive Rancho Cordova, CA 85670	\$ 95,000 fine
Respondent failed to timely process insurance claims and pay interest on certain claims; issued subscriber contracts to non-qualified groups; used a composite rate that included a profit margin to reimburse fees to affiliate laboratories for service rendered and used a rating formula that was not filed or approved by the Superintendent. [Stipulation approved November 30, 2007]		

LICENSEE	ADDRESS	PENALTY
John Hancock Life Insurance Company	John Hancock Place P.O. Box 111 Boston, MA 02117	\$600,000 fine
Respondent violated Department Regulation No. 60 [11 NYCRR 51.6(b)(2)(3)(4)(7) and (9)] and Department Regulation No. 152 [11 NYCRR 243.2(b)(1) and (8)] by failing in some instances to require, process and maintain complete, proper and accurate documentation with respect to the proposed sale and replacement of life insurance policies and annuity contracts. [Stipulation approved September 21, 2007.]		

LICENSEE	ADDRESS	PENALTY
State-Wide Insurance Company	20 Main Street Hempstead, NY 11550	\$13,700 fine
Respondent violated Section 5106 of the Insurance Law and various provisions of Department Regulation 68 (11 NYCRR Part 65) in connection with payment of overdue claims and denial of claims. Respondent also failed to comply with various provisions of Department Regulation 64 (11 NYCRR Part 216) by failing to make a timely good faith offer of settlement, failing to send a written explanation for the delay in handling claims settlements of automobile losses, and in failing to timely report to the NICB when property damage claims exceeding \$25,000. [Stipulation approved December 5, 2007.]		

LICENSEE	ADDRESS	PENALTY
Tri-State Consumer Insurance Company	2 Robbins Lane Jericho, NY 11753	\$150,000 fine
Respondent failed to specify the rating criteria as to specific age, gender, territory and type of coverage of the driver in advertised premium comparisons; failed to indicate the types of insurance coverage being compared; failed to refer to the most recently published version of the Department's Consumer Guide to Automobile Insurance in its advertisement and to accurately indicate the insurance rates; failed to disclose the full names and principal office locations of other insurers in advertisements; violated Sections 1313(a) and 2122 of the Insurance Law by failing to indicate its admitted assets, liabilities and reserves when referring to ratings; and incorrectly stated the number of years it had been selling insurance. [Stipulation approved April 4, 2007.]		

AGENT AND BROKER HEARINGS

Region: Nassau

LICENSEE	ADDRESS	PENALTY
John R. Burns (Agent)	820 Merrick Road Baldwin, NY 11510	License Revoked
Respondent failed to respond to Departmental investigatory letters and failed to provide the Department with a notarized statement regarding his termination from an insurance company. [Order issued May 31, 2007.]		

Region: New York City

LICENSEE	ADDRESS	PENALTY
Fernando Jose Dominguez (Broker)	2551 Pelham Bay Park West Bronx, NY 10475	License Revoked
Respondent issued premium checks that were dishonored by the bank upon which they were drawn; commingled insurance premium and non-premium monies within the same checking account; failed to remit premium monies he collected; acted as an insurance producer in this State without a license; and failed to respond to Departmental investigatory letters. [Order issued September 21, 2006.]		

LICENSEE	ADDRESS	PENALTY
Milal Insurance Agency Corp. (Broker)	36-26 Union Street Flushing, NY 11354	Licenses Revoked
Jay Park (Broker and Sublicensee)	Same as Above	
Respondent issued an insurance premium payment transmittal check that was dishonored by the bank upon which it was drawn and hampered and impeded the Department's investigation by failing to respond to Departmental investigatory letters. [Order issued December 11, 2007.]		

LICENSEE	ADDRESS	PENALTY
Roosevelt Insurance Brokerage Corp. (Broker)	76-09 Roosevelt Ave. Jackson Heights, NY 11372	License Revoked
Freddy Abreu (Broker and Sublicensee)	Same as above	
Respondents failed to respond to Departmental investigatory letters. [Order issued September 21, 2006.]		

Region: Rochester

LICENSEE	ADDRESS	PENALTY
Paul D. Paratore (Agent)	836 Houston Road Webster, NY 14580	\$4,000 fine
Respondent was terminated by several companies for failing to remit premium funds and instead using the money collected to pay insurance-related fees for other clients of his. Respondent also failed to completely and accurately respond to Department investigatory letters. [Order issued May 31, 2007.]		

Region: Suffolk

LICENSEE	ADDRESS	PENALTY
Michael S. Levey (Independent Adjuster)	36 Summersweet Drive Rockville Centre, NY 11953	Licenses Revoked
Respondent was criminally prosecuted for accepting cash in return for a fraudulent auto repair appraisal. [Order issued December 11, 2007.]		

LICENSEE	ADDRESS	PENALTY
110 Spirit, Inc. (Broker)	3 East Deer Park Road Dix Hills, NY 11746	\$1,500 fine
Randolph G. Douglas (Broker and Sublicensee)	Same as Above	
Respondents failed to respond completely to Departmental investigatory letters. [Order issued November 15, 2006.]		

Region: Westchester

LICENSEE	ADDRESS	PENALTY
HG Multi-Service, Inc. (Broker)	379 South Broadway Yonkers, NY 10705	License Revoked
Henry Gonzalez (Broker and Sublicensee)	Same as above	
Respondents issued insurance premium transmittal checks that were dishonored by the bank upon which they were drawn; paid bank service charges from the fiduciary account for utilizing their overdraft privilege and made cash withdrawal from the account; commingled fiduciary funds with their own funds in violation of Department Regulation 29; and failed to respond to Departmental investigatory letters. Respondents also violated a prior agreement with the Department. [Order issued September 21, 2006.]		

Region: Out of State

LICENSEE	ADDRESS	PENALTY
Belinda M. Anderson (Agent)	5254 Perth Court Denver, CO 80249	Licenses Revoked
Respondent failed to notify the Department within 30 days that she was the subject of an administrative action by the Indiana Commissioner of Insurance and Respondent hampered and impeded the Department's investigation by failing to respond to Departmental investigatory letters and/or appear at the Department as directed. [Order issued December 7, 2007.]		

LICENSEE	ADDRESS	PENALTY
Lisa Sue Mize (Agent)	403 Morrison Circle Summersville, WV 26651	License Revoked
Respondent failed to disclose on her original and renewal applications for her agent's license of her criminal convictions in West Virginia and that her license was revoked by the State of Washington Insurance Commissioner. Respondent also failed to respond to Departmental investigatory letters. [Order issued September 21, 2006.]		

AGENT AND BROKER STIPULATIONS

Region: Albany

LICENSEE	ADDRESS	PENALTY
Robert D. Daly (Agent)	9 Cindy Lane Clifton Park, NY 12065	\$2,500 fine
Respondent failed to successfully complete the required number of examinations under the supervision of an approved monitor and attested on his application for renewal of his agent's license that he had completed the required number of continuing education credits when in fact he had not . Respondent also failed to maintain and submit adequate documentation regarding the completion of his continuing education. [Stipulation approved November 22, 2006.]		

LICENSEE	ADDRESS	PENALTY
Thomas R. Rootes (Independent Adjuster – License Pending)	181 Williamsburg Court Albany, NY 12203	\$500 fine
Respondent conducted insurance business as an independent adjuster in this State without a license. [Stipulation approved November 5, 2007.]		

Region: Buffalo

LICENSEE	ADDRESS	PENALTY
Robert A. Charnock (Agent)	187 Berehaven Drive Amherst, NY 14228	\$250 fine
Respondent failed to notify the Department within 30 days that he was convicted of a crime. [Stipulation approved November 16, 2007.]		

LICENSEE	ADDRESS	PENALTY
Danette Plastini (Broker)	299 Limeridge Road Poughquag, NY 12570	\$1,500 fine
Respondent was terminated for cause by her employer for exceeding her authority and adjusting and making a settlement offer on a claim on an automobile insurance policy when coverage was no longer in effect. [Stipulation approved November 5, 2007.]		

Region: New York City

LICENSEE	ADDRESS	PENALTY
Matthew R. Cox (Agent)	c/o Chase Investment Services 1411 Broadway – 5 th Floor New York, NY 10001	\$250 fine
Respondent changed his business address and failed to notify the Department within thirty days of the change. [Stipulation approved November 8, 2007.]		

LICENSEE	ADDRESS	PENALTY
Penny Fern Hart (Broker and sublicense of The Tri-State Consumer, Inc.)	500 E. 83 rd Street New York, NY 10028	\$50,000 fine
Respondent failed to disclose on her broker's license renewal application that she was involved in a civil lawsuit and an arbitration proceeding. Respondent endorsed minutes of purported shareholder meetings held by Tri-State Consumer, Inc. ("TSC") when in fact the meetings did not take place; terminated an employee and corporate secretary of TSC without obtaining prior approval from the board of directors; and permitted TSC and Tri-State Consumer Insurance Company ("TSCIC") to violate a previous stipulation with the Department by placing automobile insurance advertisements in this state that failed to comply with standards set forth in the aforementioned stipulation. [Stipulation approved June 15, 2007.]		

LICENSEE	ADDRESS	PENALTY
K D Keusch Insurance Agency, Inc. (Agent)	30 West 63 rd Street New York, NY 10023	\$500 fine
Kenneth D. Keusch (Agent and Sublicensee)	Same as above	
Respondents failed to pay the additional fee for their license renewal application as directed in Departmental letters. Respondents subsequently paid the additional fee. [Stipulation approved November 7, 2007.]		

LICENSEE	ADDRESS	PENALTY
Ramonita Montalvo (Broker)	2752 Broadway New York, NY 10025	\$1,000 fine
Ramonita Montalvo t/b/a Olympia Brokerage (Broker)	Same as above	
Respondent issued insurance premium payment transmittal checks that were dishonored by the bank upon which they were drawn; commingled insurance premium fiduciary funds with personal and/or business operating expense funds; and allowed an individual who is not licensed by the Department in any capacity to sign Respondent's name on premium payment transmittal checks. Respondent subsequently replaced the aforementioned dishonored checks. [Stipulation approved October 23, 2007.]		

LICENSEE	ADDRESS	PENALTY
Jacques T. Nose (Agent)	108-23 36 th Avenue Flushing, NY 11368	\$500 fine
Respondent failed to pay the additional fee for his license renewal application as directed in Departmental letters. Respondent subsequently paid the additional fee. [Stipulation approved November 5, 2007.]		

LICENSEE	ADDRESS	PENALTY
Oxford Coverage, Inc. (Broker)	2914 Avenue L Brooklyn, NY 11210	\$2,500 fine
Joseph Schwartz (Broker, Excess Line Broker and Sublicensee)	Same as Above.	
Respondents aided and facilitated a limited liability company in acting as an insurance broker without a license in connection with the placement of insurance policies with an insurer. Respondent also violated Section 2116 of the Insurance Law by aiding and facilitating the limited liability company in receiving insurance broker commissions from the insurer in connection with the placement of the insurance policies. [Stipulation approved November 13, 2007.]		

LICENSEE	ADDRESS	PENALTY
Trivedi & Associates LLC (Agent, Broker and Excess Line (Broker) Tushar Trivedi (Agent, Broker, Excess Line Broker – License Pending and Sublicensee)	142-07 Cherry Avenue Flushing, NY 11355 Same as above	\$750 fine
Respondents failed to disclose on Tushar Trivedi's original application for his excess line broker's license that Trivedi & Associates LLC was a party to a lawsuit involving the financing of insurance policies and that Respondents failed to timely respond to Departmental investigatory letters. [Stipulation approved October 16, 2007.]		

Region: Rochester

LICENSEE	ADDRESS	PENALTY
Richard J. Coleman (Agent)	225 Meigs Street Rochester, NY 14607	\$250 fine
Respondent failed to notify the Department within thirty days that he was convicted of a crime. [Stipulation approved November 13, 2007.]		

LICENSEE	ADDRESS	PENALTY
Paul D. Paratore (Agent)	836 Houston Road Webster, NY 14580	\$2,500 fine
Respondent failed to successfully complete the required amount of continuing education credits or programs and attested on his application for renewal of his agent's license that he had completed the required credits when in fact he had not. [Stipulation approved November 24, 2006.]		

Region: Suffolk

LICENSEE	ADDRESS	PENALTY
Steven E. Dolgin (Agent)	21 Jamaica Avenue Plainview, NY 11803	\$750 fine
Respondent failed to comply with Department Regulation 60 in connection with an annuity replacement transaction. [Stipulation approved November 13, 2007.]		

LICENSEE	ADDRESS	PENALTY
Jeffrey I. Freund (Broker and Agent)	100-25 South Jersey Avenue East Setauket, NY 11733	\$500 fine
Respondent failed to disclose on his broker license application that he was adjudged bankrupt. [Stipulation approved November 20, 2007.]		

LICENSEE	ADDRESS	PENALTY
Heriberto Morales (Broker)	56 Poplar Street Brentwood, NY 11717	\$1,000 fine
Respondent failed to disclose on his application for his broker's license that his license to act as a broker was previously revoked. [Stipulation approved November 15, 2007.]		

LICENSEE	ADDRESS	PENALTY
Gene V. Panasencko (Agent)	75 Patchogue Holbrook Rd. Ronkonkoma, NY 11779	\$1,000 fine
Respondent failed to comply with Department Regulation 60 in connection with annuity replacement transactions. [Stipulation approved November 13, 2007.]		

LICENSEE	ADDRESS	PENALTY
Kevin P. Porter (Agent)	c/o Smith Barney 200 NYALA Farms Road Melville, NY 11747	\$500 fine
Respondent failed to pay the additional fee for his license renewal application as directed in Departmental letters. Respondent subsequently paid the additional fee. [Stipulation approved November 26, 2007.]		

LICENSEE	ADDRESS	PENALTY
Gregory Michael Renna (Agent, Broker and Adjuster)	42 Samuels Path Miller Place, NY 11764	\$1,000 fine
Respondent failed to disclose on his original applications for his licenses that he was convicted of a crime. [Stipulation approved November 5, 2007.]		

LICENSEE	ADDRESS	PENALTY
Ronald M. Rosenfeld (Agent and Sublicensee)	32 Azalea Drive Syosset, NY 11791	\$750 fine
Respondent authorized the use of his name by another agent for purposes of assisting that other agent in the disguising of the sale of a life insurance policy as if the policy had been sold in another state, when in fact, it had been sold in this state by an insurer not licensed to do so in this state. [Stipulation approved October 24, 2006.]		

LICENSEE	ADDRESS	PENALTY
Michael W. Ullrich (Agent)	22 Bay Avenue Huntington, NY 11743	\$1,000 fine
Respondent attested that his continuing education requirements had been completed when in fact they had not been. [Stipulation approved January 25, 2007.]		

Region: Syracuse

LICENSEE	ADDRESS	PENALTY
Ronald W. Bench (Independent Adjuster)	9 Fort Street Auburn, NY 13021	\$500 fine
Respondent failed to disclose on his original application for his independent adjuster's license that he was convicted of a misdemeanor. [Stipulation approved November 15, 2007.]		

LICENSEE	ADDRESS	PENALTY
Daniel R. Cuddy (Agent)	119 Stryker Avenue Auburn, NY 13021	\$750 fine
Respondent transacted insurance business under an unlicensed name. [Stipulation approved November 15, 2007.]		

LICENSEE	ADDRESS	PENALTY
Shawn R. Damon (Agent)	73 Floyd Drive West Monroe, NY 13167	\$250 fine
Respondent failed to notify the Department within 30 days of the initial pretrial hearing date that he was criminally prosecuted for two misdemeanors. [Stipulation approved November 26, 2007]		

Region: Watertown

LICENSEE	ADDRESS	PENALTY
Michele L. Freislich (Agent)	35681 Beadle Pt. Cape Vincent, NY 13618	\$1,000 fine
Respondent conducted insurance business using an unlicensed name and failed to disclose on her renewal application for her agent's license that she was a party to a National Association of Securities Dealers arbitration proceeding. [Stipulation approved November 26, 2007.]		

Region: Westchester

LICENSEE	ADDRESS	PENALTY
Melissa A. Capone (Agent)	c/o Bosco Johnn & Company CPA PC 90 Columbus Avenue Valhalla, NY 10595	\$250 fine
Respondent failed to notify the Department within thirty days that she was convicted of a crime. [Stipulation approved November 14, 2007.]		

LICENSEE	ADDRESS	PENALTY
Elvis H. Telleria (Agent and Broker)	246 Westchester Avenue Portchester, NY 10573	\$750 fine
Respondent issued insurance premium payment transmittal checks that were dishonored by the bank upon which they were drawn and transacted insurance business under an unlicensed name. [Stipulation approved October 23, 2007.]		

Region: Out of State

LICENSEE	ADDRESS	PENALTY
Robin Vivian Allen (Agent)	1711 Bush Avenue Lakeland, FL 33804	\$250 fine
Respondent failed to notify the Department within 30 days that she changed her residence address and also failed to notify the Department within 30 days that she had an insurance producer's license denied by the State Of Indiana Department of Insurance. [Stipulation approved November 29, 2007.]		

LICENSEE	ADDRESS	PENALTY
American Patriot Insurance Agency Inc. (Agent, Broker and Excess Line Broker -License pending)	Two Westbrook Corporate Center Westbrook, IL 60154	\$2,000 fine
Lysa J. Saran (Sublicensee)	Same as Above	
Respondents failed to disclose on renewal applications for agent's and broker's licenses of Respondent American Patriot Insurance Agency Inc. that Respondent American Patriot Insurance Agency Inc. was a party to a lawsuit involving an allegation of fraud, misappropriation or conversion of funds, or misrepresentation or breach of a fiduciary duty. (Stipulation approved November 26, 2007.)		

LICENSEE	ADDRESS	PENALTY
Stephen F. Boccarossa (Agent - Licenses Pending)	15 Morning Glory Drive Orange, CT 06477	\$500 fine
Respondent failed to disclose on his application for his life/accident and health agent's license and on his relicensing application for his property and casualty agent's license that he was fined by the State of Connecticut Insurance Department. [Stipulation approved November 26, 2007.]		

LICENSEE	ADDRESS	PENALTY
Suzanne L. Bolton (Agent)	13 Sunrise Court Limestone, ME 04750	\$500 fine
Respondent failed to disclose on her original application for her agent's license that she was convicted of a crime. [Stipulation approved November 27, 2007.]		

LICENSEE	ADDRESS	PENALTY
Stephen W. Brandenburg (Agent)	c/o Smith Barney 53 State Street Boston, MA 02109	\$1,000 fine
Respondent failed to comply with Department Regulation 60 in connection with annuity replacement transactions. [Stipulation approved November 19, 2007.]		

LICENSEE	ADDRESS	PENALTY
Brown & Brown of Washington Inc. (Agent)	PO Box 1718 Tacoma, WA 98401	\$750 fine
Respondent failed to disclose on its original application for an agent's license that the Alabama Department of Insurance suspended the insurance business license of Kenneth D. Kirk, an officer and director of Respondent, in 1998 and 1999 and placed Mr. Kirk on probation in 2003. [Stipulation approved November 28, 2007.]		

LICENSEE	ADDRESS	PENALTY
Burgdorf & Company Inc. (Agent)	7 East Frederick Place Cedar Knolls, NJ 07927	\$500 fine
Roger L. Burgdorf (Agent and Sublicensee)	Same as Above	
Respondents failed to report to the Superintendent within 30 days of the final disposition of the matter that the State of New Jersey Department of Banking and Insurance placed Respondent Roger L. Burgdorf's resident individual insurance producer license on probationary status and fined Respondents Roger L. Burgdorf and Burgdorf & Company Inc. [Stipulation approved November 26, 2007.]		

LICENSEE	ADDRESS	PENALTY
Mary E. Bush (Agent)	141 Willow Drive Hartland, WI 53005	\$500 fine
Respondent failed to report to the Superintendent within thirty days of the final disposition of the matter that her insurance license was revoked by the State of Wisconsin, Office of the Commissioner of Insurance and that her Kansas nonresident insurance agent's license was revoked by the Commissioner of Insurance of the State of Kansas. [Stipulation approved November 7, 2007.]		

LICENSEE	ADDRESS	PENALTY
Brian P. Carr (Agent)	c/o New England Financial 50 Division Street Somerset, NJ 08876	\$250 fine
Respondent failed to report to the Superintendent within 30 days of the final disposition of the matter that the National Association of Securities Dealers ("NASD") suspended him from associating with any NASD member, fined him and ordered him to pay restitution to a customer. [Stipulation approved November 16, 2007.]		

LICENSEE	ADDRESS	PENALTY
Edward B. Chambers (Agent)	1210 Madison Ivy Circle Apopka, FL 32712	\$500 fine
Respondent collected insurance premium payments from insureds and failed to timely remit said insurance premiums to the insurer. [Stipulation approved December 4, 2007.]		

LICENSEE	ADDRESS	PENALTY
Richard A. Epstein (Agent)	1412 Walley Avenue New Haven, CT 06515	\$250 fine
Respondent failed to notify the Department within 30 days that he was fined by the Commonwealth of Massachusetts Office of Consumer Affairs and Business Regulation Division of Insurance. [Stipulation approved November 26, 2007.]		

LICENSEE	ADDRESS	PENALTY
Joseph Fernandez (Independent Adjuster – License Pending)	270A Winding River Drive Atlanta, GA 30350	\$500 fine
Respondent failed to disclose on his relicensing application for his independent adjuster's license that he was the subject of an administrative action by the Office of Commissioner of Insurance of the State of Georgia. [Stipulation approved November 5, 2007.]		

LICENSEE	ADDRESS	PENALTY
Bruce H. Gardner (Agent)	283 Second Street Pike Southampton, PA 18966	Licenses Revoked
Respondent failed to notify the Department within 30 days that he was the subject of an administrative action by the Insurance Commissioner of the Commonwealth of Pennsylvania where his insurance producer's license was revoked for a period of five years and impeded the Department's investigation by failing to respond to Departmental investigatory letters. [Stipulation approved December 7, 2007.]		

LICENSEE	ADDRESS	PENALTY
Allan Goldstein (Agent and Life Consultant)	500 Lake Cook Road Deerfield, IL 60015	\$250 fine
Respondent failed to report to the Superintendent within 30 days of the final disposition of the matter that he was ordered to pay a civil forfeiture by the Illinois Department of Financial and Professional Regulation, Division of Insurance. [Stipulation approved November 29, 2007.]		

LICENSEE	ADDRESS	PENALTY
Edward H. Luecke (Agent)	The Cleveland House 2727 29 th Street Washington, DC 20008	\$1,000 fine
Respondent failed to comply with Department Regulation 60 in connection with annuity replacement transactions. [Stipulation approved November 5, 2007.]		

LICENSEE	ADDRESS	PENALTY
Kevin A. Kullen (Independent Adjuster- License Pending)	74 Legaski Avenue Stoughton, MA 02072	\$500 fine
Respondent transacted insurance business as an adjuster without a license. [Stipulation approved November 26, 2007.]		

LICENSEE	ADDRESS	PENALTY
Joseph G. Murray (Agent)	c/o Prudential Financial 3914 Miami Road Cincinnati, OH 45227	\$500 fine
Respondent failed to pay the additional fee for his license renewal application as directed in Departmental letters. Respondent subsequently paid the additional fee. [Stipulation approved November 14, 2007.]		

LICENSEE	ADDRESS	PENALTY
Mary A. Read (Continuing Education Program Instructor – Application Pending)	c/o National Life Insurance Company 1 National Life Drive Montpelier, VT 05604	\$500 fine
Respondent failed to disclose on her Continuing Education Program instructor approval applications that she was convicted of a misdemeanor. [Stipulation approved November 7, 2007.]		

LICENSEE	ADDRESS	PENALTY
Robert M. Ryerson (Agent)	c/o New Century Planning, Inc. 4400 Route 9 South Freehold, NJ 07728	\$500 fine
Respondent failed to disclose on his renewal application for an agent's license that the National Association of Securities Dealers suspended him for a period of two years and fined him. [Stipulation approved November 9, 2007.]		

LICENSEE	ADDRESS	PENALTY
Scott, James A and Son Inc. (Agent and Broker – Licenses Pending)	1301 Old Graves Mill Road P.O. Box 10489 Lynchburg, VA 24506	\$250 fine
Erik Andreas Koroneos (Sublicensee)	Same as above	
Respondents failed to notify the Department within thirty days that Respondent Scott, James A and Son Inc. was fined by the Commonwealth of Virginia State Corporation Commission. [Stipulation approved November 7, 2007.]		

LICENSEE	ADDRESS	PENALTY
Dee Vanschoick, Jr. (Agent)	16955 Walden Rd. P.O. Box 1286 Montgomery, TX 77356	\$250 fine
Respondent failed to notify the Department within thirty days that he was fined by the Texas Department of Insurance and by the Mississippi Insurance Department. [Stipulation approved December 6, 2007.]		

LICENSEE	ADDRESS	PENALTY
Wentworth-DeAngelis Inc. (Agent and Broker)	74 Batterson Park Road Farmington, CT 06034	\$1,000 fine
Ralph E. Wentworth (Life Broker and Sublicensee)	Same as Above	
Respondents failed to disclose on the renewal application for agent's license of Respondent Wentworth-DeAngelis, Inc. and on the renewal application for life broker's license of Respondent Ralph E. Wentworth that Respondent Ralph E. Wentworth was fined by the Kansas Insurance Department. [Stipulation approved November 21, 2007.]		

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