



**STATE OF NEW YORK
INSURANCE DEPARTMENT**
25 BEAVER STREET
NEW YORK, NEW YORK 10004

**Supplement No. 1 to
Circular Letter No. 4 (2000)
March 8, 2000**

TO: All Licensed Life and Accident and Health, Property/Casualty, Reciprocal, Financial Guaranty and Mortgage Guaranty Insurers, Medical Malpractice Insurance Association, Cooperative Property/Casualty Insurers, The State Insurance Fund, Accredited Reinsurers, Hospital, Health, Medical, and Dental Service or Indemnity Article 43 Corporations, and Public Health Law Article 44 Health Maintenance Organizations

RE: Electronic Filing via the Internet of New York Supplement Annual and Quarterly Statements

Circular Letter No. 4 (2000), issued January 31, 2000, adopted important changes in regard to electronic filing of Annual Statements and Quarterly Statements with the Department. It provides for the Electronic Filing via the Internet of all National Form (NAIC) Annual and Quarterly Statements with the NAIC.

This supplement to Circular Letter No. 4 is issued to extend these changes in regard to electronic filing to the New York Supplements to the Annual Statement.

Effective with the 1999 Data Requirements for Health Maintenance Organizations due April 1, 2000, and annually thereafter, Public Health Law Article 44 HMOs, who file their Data Requirements pursuant to the New York Public Health Law and Regulations and Section 308 of the New York Insurance Law, are strongly encouraged to file electronically with the NAIC over the Internet. By filing over the Internet with the NAIC a Health Maintenance Organization will have fulfilled its **electronic** filing requirement with New York and should not file a diskette with the Department.

Effective with the 2000 1st Quarter Statement filing due May 15, 2000, and for each quarterly statement filing thereafter, Hospital, Medical and Dental Services, or Indemnity insurers and Health Maintenance Organizations, that file their New York Supplement and Data Requirements quarterly statements respectively pursuant to Section 308 of the New York Insurance Law, are strongly encouraged to file electronically with the NAIC via the Internet. By filing over the Internet with the NAIC an insurer will have fulfilled its **electronic** filing requirement with New York and should not file a diskette with the Department.

Instructions on filing over the Internet with the NAIC are available on their Web site at <http://www.naic.org>. Please click on the link for Internet Filings.

This Circular Letter does not apply to certain Health Maintenance Organizations that are lines of business of Article 43 Corporations.

Please be advised that any insurers that filed their New York Supplement to the annual statement pursuant to Section 307 or 308 of the New York Insurance Law on the Hospital, Medical and Dental Services or Indemnity, Life and Accident and Health, and Property and Casualty blanks, electronically with the NAIC via the Internet by March 1 have fulfilled its electronic filing requirement with New York and therefore should not file a diskette with the Department.

This Circular Letter does not address hard copy filings. All New York Supplement Annual Statements and Quarterly Statements are still required to be filed in hard copy with the Department, pursuant to Section 307 and 308 of the New York Insurance Law.

This Circular Letter only addresses requirements with the New York Insurance Department. **Compliance with this Circular Letter does not address the requirements of the Department of Health.**

Any questions concerning this Circular Letter may be directed to Robert Kerrigan, Associate Insurance Examiner at (212) 480-2333 or Susan Agcaoili, Senior Insurance Examiner at (518) 473-3775 or e-mail to systems@ins.state.ny.us, Subject: NAIC Internet Filing.

Very truly yours,

Neil D. Levin
Superintendent of Insurance