

New York State Insurance Department

ISSUED: 10/11/06

FOR IMMEDIATE RELEASE

NEW YORK STATE INSURANCE DEPARTMENT TAKES DISCIPLINARY ACTIONS AGAINST COMPANIES, AGENTS, BROKERS & ADJUSTERS

The New York State Insurance Department has taken disciplinary action against the following licensees. Those categorized as stipulations have been agreed to by the licensee. Department actions that result from Department hearings are subject to judicial review and possible stay of enforcement.

AGENT AND BROKER HEARINGS

Region: Buffalo

LICENSEE	ADDRESS	PENALTY
Hal S. Herman (Agent)	336 Harris Hill Road Williamsville, NY	License revoked
In 1983, Respondent, while employed on the floor of the American Stock Exchange ("Exchange") as a non-registered wire booth clerk, committed violations of an Exchange Rule, for which the Exchange censured Respondent, fined Respondent and barred Respondent from employment on the floor of the Exchange for a period of 5 years. Respondent subsequently fell behind in making payments on the fine imposed by the Exchange, for which the Exchange suspended Respondent. In 1996, Respondent, while employed on the floor of the Exchange as a non-registered wire booth clerk, committed violations of Exchange Rules and the Exchange Constitution, for which the Exchange censured Respondent and permanently barred Respondent from membership, allied membership, approved person status and employment or association in any capacity with any Exchange member or member organization. [Order issued November 4, 2004.]		

Region: Nassau

LICENSEE	ADDRESS	PENALTY
Beth Anderson (Broker)	25 Neptune Blvd. Long Beach, NY	License revoked
Respondent failed to cooperate with the Department's investigation concerning an answer she supplied on her agent's renewal application. [Order issued November 2, 2004.]		

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LICENSEE	ADDRESS	PENALTY
Binding Insurance Brokerage, Inc. (Broker)	110-27 178 th Street St. Albans, NY	Licenses revoked
Eulene R. Barclay (Sublicensee)	Same as above	

Respondents failed to cooperate with the Department in its investigation of alleged misconduct and failed to pay a fine imposed by the Department. [Order issued December 15, 2004.]

LICENSEE	ADDRESS	PENALTY
Continental West Brokerage, Inc. (Broker)	517 Union Avenue Westbury, NY	License revoked
Ola I. Famakinwa (Sublicensee and Broker)	Same as above	License revoked
Respondents violated several rules and requirements of the New York Automobile Insurance Plan. In addition, Respondents did not remit an insured's insurance premium deposit on time, causing a gap in his coverage. Respondents did not pay Department fine of \$1,000 thereby causing the revocation of their license. [Order issued October 27, 2004]		

LICENSEE	ADDRESS	PENALTY
Decel Destin (Agent)	123-33 83 rd Avenue, Apt. 2604 Kew Gardens, NY	License revoked
Respondent failed to cooperate with the Department in its investigation of alleged misconduct. [Order issued November 1, 2004.]		

LICENSEE	ADDRESS	PENALTY
John Hayden (Independent Adjuster)	c/o John Treiber Agency, Inc. 377 Oak Street CS601 Garden City, NY	License revoked
Respondent accepted money from a client for wrongfully enhancing the client's claim with an insurer by fraudulently inflating the extent of the property damage. As a result, Respondent was convicted of Insurance Fraud in the fifth degree. Respondent also failed to respond to Departmental investigatory letters. [Order issued November 5, 2004.]		

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LICENSEE	ADDRESS	PENALTY
Terry Brokerage, Inc. (Broker) Alverto Terry, Jr. (Sublicensee and Broker)	168-41 Hillside Avenue Jamaica, NY Same as above	 License revoked
Respondent failed to cooperate with the Department's investigation of alleged misconduct. [Order issued November 3, 2004.]		

Region: New York City

LICENSEE	ADDRESS	PENALTY
Wing M. Chan (Agent and Broker)	2484 Grand Avenue Bronx, NY	Licenses revoked
Respondent issued five premium payment checks that were dishonored by the bank upon which they were drawn. Respondent also failed to cooperate with the Department's investigation. [Order issued November 3, 2004.]		

LICENSEE	ADDRESS	PENALTY
Jody McGinley (Broker)	96-01 Metropolitan Avenue Forest Hills, NY	License revoked
Respondent issued insurance premium transmittal checks that were dishonored by the bank. In addition, the Respondent's certification to act as an insurance producer for the New York Automobile Insurance Plan (NYAIP) was revoked due to various violations of NYAIP rules and producer performance standards. The Respondent also conducted her insurance business using an unlicensed, unregistered and unauthorized name and failed to cooperate with the Department's investigation. [Order issued December 14, 2004.]		

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LICENSEE	ADDRESS	PENALTY
Kings Premium Brokerage, Inc. (Broker) and Patrick E. Uzoefune (Sublicensee)	11020 Flatlands Avenue Suite 1001 Brooklyn, NY Same as above	License revoked
<p>In 1995 to 1997, Respondent Uzoefune committed violations of New York Automobile Insurance Plan (“NYAIP”) rules and producer performance standards for which the NYAIP revoked Respondent Uzoefune’s certification for a period of one year. In 1996 to 1997, Respondent Uzoefune issued premium payment transmittal checks that were dishonored by the bank upon which they were drawn. In 1996 to 1997, Respondent Uzoefune violated Section 2120 of the Insurance Law and Department Regulation 29 by commingling insurance premium funds with his personal and business funds. In 1996 to 1997, Respondent Uzoefune conducted his insurance business using an unlicensed name. Respondent Uzoefune breached an agreement in a prior stipulation that he would take all steps necessary to prevent the dishonor of premium payment checks and the commingling of funds. In 2001 to 2002, Respondent Uzoefune forged the signature of an individual on documents that falsely identified the individual as a sublicensee and manager of Respondent Kings Premium Brokerage Inc. In 2001 to 2003, Respondents Uzoefune and Kings Premium Brokerage Inc. conducted automobile insurance business with the NYAIP using the identity of the aforementioned individual as the sublicensee of Respondent Kings Premium Brokerage Inc. without the individual’s authorization. In 2002 to 2003, Respondents Uzoefune and Kings Premium Brokerage Inc. committed violations of NYAIP rules and producer performance standards, including issuing premium payment transmittal checks that were dishonored by the bank upon which they were drawn, for which the NYAIP revoked the certifications of Respondents Uzoefune and Kings Premium Brokerage Inc. for a period of three years. [Order issued November 4, 2004.]</p>		

LICENSEE	ADDRESS	PENALTY
Isabelita C. Morel (Broker)	201 Sherman Avenue, Frnt. New York, NY	License revoked
<p>Respondent permitted a non-licensee whose former license had been revoked and who was arrested for insurance fraud, to sell fraudulent insurance ID cards through her license. Respondent also failed to cooperate with the Department’s investigation of alleged misconduct. [Order issued November 1, 2004.]</p>		

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LICENSEE	ADDRESS	PENALTY
Onix Brokerage & Travel, Inc. (Broker)	101 East 165 th Street Bronx, NY	\$1,500 fine
Luis M. Pimentel, (Sublicensee)	Same as above	
Respondents fronted for a licensee who was not certified to place insurance through the New York Automobile Insurance Plan. In addition, Respondents failed to cooperate with the Department's investigation. [Order issued November 11, 2004.]		

LICENSEE	ADDRESS	PENALTY
Alfred A. Oyewole t/b/a Oyewole Brokerage (Broker)	226A Sumpter Street Brooklyn, NY	\$750 fine
	Same as above	
Respondent issued two insurance premium checks that were dishonored by the bank upon which they were drawn and commingled insurance fiduciary funds in order to pay his personal and business expenses. [Order issued December 14, 2004.]		

LICENSEE	ADDRESS	PENALTY
Miquel I Pieter (Broker)	516 West 175 th Street #43 New York, NY	License revoked
Respondent issued premium payments checks that were dishonored by the bank upon which drawn and failed to cooperate with the Department's investigation. [Order issued November 2, 2004]		

LICENSEE	ADDRESS	PENALTY
Right and Associates, Inc. (Broker)	490 Bay Street Staten Island, NY	Licenses revoked
Joan W. Harris (Broker and Sublicensee)	Same as above	
Respondents issued five insurance premium checks that were dishonored by the bank upon which they were drawn. Respondents also failed to cooperate with the Department's investigation. [Order issued November 2, 2004.]		

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LICENSEE	ADDRESS	PENALTY
Anthony Rodriguez (Broker and Agent)	1222 White Plains Road Bronx, NY	Licenses revoked
Respondent collected premium payments and a service fee from a client to add a second vehicle to her automobile insurance policy. The Respondent failed to remit the premium monies to the insurer. The Respondent failed to cooperate with the Department's investigation. [Order issued November 1, 2004.]		

LICENSEE	ADDRESS	PENALTY
Mutiat Sanni (Broker)	122 Spartan Avenue Staten Island, NY	License revoked
Respondent failed to appear at a panel meeting held by the New York Automobile Plan (NYAIP) and was decertified by the NYAIP for two years for NYAIP violations. Respondent also failed to cooperate with the Department's investigation. [Order issued November 1, 2004.]		

Region: Suffolk

LICENSEE	ADDRESS	PENALTY
Thomas P. Durante (Independent Adjuster)	2352 Hamilton Road North Bellmore, NY	License revoked
Respondent failed to cooperate with the Department's investigation of his criminal arrests. [Order issued November 3, 2004.]		

Region: Out of State

LICENSEE	ADDRESS	PENALTY
Patrick S. Chancellor (Agent)	c/o The Hartford 7800 NW 85 th Terr Oklahoma City, OK	License revoked
Respondent failed to cooperate with the Department in its investigation of the revocation of his Washington State insurance license. [Order issued October 5, 2004.]		

LICENSEE	ADDRESS	PENALTY
Pamela S. Crandall (Agent)	149 West Shore Road Ellington, CT	License revoked
Respondent failed to cooperate with the Department's investigation of the revocation of her Alabama insurance license. [Order issued November 3, 2004.]		

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LICENSEE	ADDRESS	PENALTY
Anne Eliason (Agent)	c/o Conseco Agency 7360 South Kyrene Road #T200 Tempe, AZ	License revoked
Respondent failed to cooperate with the Department in its investigation of the revocation of her Wisconsin insurance license. [Order issued November 4, 2004.]		

LICENSEE	ADDRESS	PENALTY
Todd M. Hart (Agent)	125 Peterborough Drive Mooresville, NC	License revoked
Respondent failed to answer truthfully a material question on his license application and did not cooperate with the Department's investigation. [Order issued November 3, 2004.]		

LICENSEE	ADDRESS	PENALTY
Timothy J. Howson (Agent)	5110 Galena Drive Colorado Springs, CO	License revoked
Respondent failed to disclose on his agent's license application that he had been adjudged a bankrupt and failed to cooperate with the Department's investigation. [Order issued November 2, 2004.]		

LICENSEE	ADDRESS	PENALTY
Charles L. Myrick (Agent)	2900 Campcreek Parkway -D13 College Park, GA	License revoked
Respondent failed to disclose on his license application his criminal conviction. In addition, Respondent did not cooperate with the Department's investigation. [Order issued December 14, 2004.]		

LICENSEE	ADDRESS	PENALTY
Harry D. Smith (Agent)	1426 South 11 th Avenue Maywood, IL	License revoked
Respondent was convicted of theft, embezzlement or misapplication of funds by a bank employee for removing money from a bank's vault while he was employed as a teller at the bank. Respondent failed to disclose on a licensing application that he had a criminal action pending against him. Respondent's insurance producer license was revoked by other states due to his failure to disclose his criminal conviction and his failure to respond to inquiries made by those states. Respondent failed to respond to Departmental investigatory letters. [Order issued August 17, 2004.]		

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AGENT AND BROKERS STIPULATIONS

Region: Nassau

LICENSEE	ADDRESS	PENALTY
Bellido Insurance Brokerage, Inc. (Broker, Excess Line Broker) and Ricardo L. Bellido (Agent and Sublicensee)	92-29 Queens Blvd. Ste. 8 Rego Park, NY 92-11 50 th Avenue Elmhurst, NY	\$1,000.00 fine
<p>Respondents violated Section 2119 of the Insurance Law by collecting a service fee from an insured without obtaining from the insured a signed memorandum specifying the amount of said service fee. Respondents also requested that the insured's commercial general liability insurance policy be cancelled effective a date that was 6 days later than the date requested by the insured. [Stipulation approved November 10, 2004.]</p>		

LICENSEE	ADDRESS	PENALTY
Expert Brokerage Services, Inc. (Broker) Mendrawattie Singh (Broker, Agent and Sublicensee)	106-01 Liberty Avenue Ozone Park, NY 109-38 116th Street Ozone Park, NY	\$750 fine
<p>Respondents issued a premium check which was dishonored by the bank upon which it was drawn and was subsequently replaced. Respondents also commingled funds in their premium bank account and transacted insurance business in the name of Metrocity Brokerage Services, an entity not licensed in any capacity by the Department. [Stipulation approved November 2, 2004.]</p>		

Region: New York City

LICENSEE	ADDRESS	PENALTY
Irving Bodner (Agent)	One Penn Plaza 27 th Floor New York, NY	\$2,500 fine
<p>Respondent attempted to improperly obtain Department credit for a continuing education class by affixing his signature to a class attendance sheet attesting he had attended a continuing education class when in fact he had not. [Stipulation approved December 6, 2004.]</p>		

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LICENSEE	ADDRESS	PENALTY
Phyllis E. Rulf (Agent)	423 East 64 th Street New York, NY	\$500.00 fine
Respondent failed to disclose on a licensing application that she had been adjudged a bankrupt. [Stipulation approved November 23, 2004.]		

Region: Suffolk

Douglas & Associate Brokerage, Inc. (Broker)	137-19 226 th Street Laurelton, NY	\$2,000 fine
Randolph G. Douglas (Broker and Sublicensee)	3 East Deer Park Road Dix Hills, NY	
Respondents was placed on the certified check requirements list by the New York Automobile Insurance Plan for issuing premium checks that were dishonored by the bank upon which drawn. [Stipulation approved December 15, 2004.]		

Region: Syracuse

Richard E. Williams (Agent)	Richard Williams Ins. 6822 Lakeshore Rd n Box 399 Verona Beach, NY	\$500 fine
Respondent issued four premium checks that were dishonored by the bank upon which they were drawn and was placed on the certified check requirement list by the New York Automobile Insurance Plan for issuing checks that were dishonored by the bank upon which drawn. All dishonored checks were subsequently replaced. [Stipulation approved November 30, 2004.]		

Region: Out of State

LICENSEE	ADDRESS	PENALTY
Stephen D. Bissell (Agent)	5587 Teeka Ct Whitehall, PA	\$500.00 fine
Respondent failed to disclose on a licensing application that he was fined by the Department of Insurance, State of Nebraska. [Stipulation approved December 20, 2004.]		

LICENSEE	ADDRESS	PENALTY
Brad Bothers (Agent)	2313 Crestmont, Apt. 122 Norman, OK	\$500.00 fine
Respondent failed to disclose on a license application that his insurance agent's license was revoked by the State of Washington, Office of Insurance Commissioner. [Stipulation approved December 10, 2004.]		

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LICENSEE	ADDRESS	PENALTY
Gail D. Chrisley (Continuing Education Instructor)	7238 Geaned Street Pickerington, OH	\$500.00 fine
Respondent failed to disclose on her continuing education program instructor approval application that her insurance agent license was revoked by the State of Ohio, Department of Insurance. [Stipulation approved November 8, 2004.]		

LICENSEE	ADDRESS	PENALTY
Direct Response Insurance Agency Administrative Services (Agent) and Richard H. Votel (Agent and Sublicensee)	7930 Century Blvd Chanhassen, MN. 3733 Village Court Woodbury, MN	\$1,500.00 fine
Respondents, providers of license renewal administrative services, failed to arrange for the renewal of a client's insurance agent's license, permitting the license to expire. Respondents' employee altered the expiration date on a copy of the client's expired license and sent the altered license copy to the client for submission to an insurer. [Stipulation approved November 12, 2004.]		

LICENSEE	ADDRESS	PENALTY
Joseph H. Langlois, Jr. (Agent)	3131 Camino Del Rio North Ste. 1020 San Diego, CA	\$500 fine
Respondent failed to disclose on his original agent's license that he had been convicted of "Engaging in a domestic quarrel" and "Possession of less than an ounce of marijuana.". [Stipulation approved November 18, 2004.]		

LICENSEE	ADDRESS	PENALTY
Kurtis Lee Limbo (Agent)	Sea to Sea Insurance Services, inc. 575 Price Street, Suite 315 Pismo Beach, CA	\$500 fine
Respondent violated Section 2102 of the Insurance Law by conducting insurance business in the State of New York under an unlicensed name. [Stipulation approved November 4, 2004.]		

LICENSEE	ADDRESS	PENALTY
Russell E. Williams, II (Agent)	Inslogic One Inslogic Way Oak Ridge, TN	\$500 fine
Respondent failed to disclose on his original agent's license application that the North Carolina Insurance Department denied his application for an insurance agent's license. [Stipulation approved September 20, 2004.]		

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LICENSEE	ADDRESS	PENALTY
United Service Protection Corp. (Service Contract Provider)	1501 Lakeland Drive Suite 350 Jackson, MS	\$2,500.00 fine
Respondent sold service contracts without having an approval of a registration to act as a service contract provider from the Superintendent as required by Section 7907(a) of the Insurance Law. [Stipulation approved November 18, 2004.]		

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