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NEW YORK STATE DEPARTMENT of FINANCIAL SERVICES

Financial Frauds and Consumer Protection Division - Criminal Investigations Unit
1 State St.
New York, New York 10004

LIFE SETTLEMENT PROVIDER 2015 ANNUAL SIU REPORT

NEW YORK BUSINESS ONLY

DUE DATE: MARCH 15, 2016

Date:

Provider Name: License #:

Address: City:

State: Zip Code:

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1) Number of life settlement applications received by Provider in 2015:

2) Number of life settlement applications declined by Provider in 2015:

3) Total face amount settled by Provider in 2015:

4) Total number of policies purchased in 2015:

5) Number of suspicious applications detected in 2015:

6) Number of IFBs reported to the NY Criminal Investigations Unit in 2015:

7) Public Awareness: Did the LSP participate in LISA's 2015 public awareness program? ☐ Yes ☐ No

If No, please complete the Annual Public Awareness questionnaire and return the questionnaire with this Annual SIU Report submission.

Life Settlement Provider Annual SIU Report Contact Person:

Phone number: Email Address:

Signature: