

NEW YORK INSURANCE DEPARTMENT

COMMERCIAL LINES CANCELLATION AND NONRENEWAL FORM FILING COMPLIANCE QUESTIONNAIRE

COMPANY	Co. File No.
Company Contact:	Phone Number:
E-Mail Address:	

Instructions: All applicable items must be answered. Responses in the shaded area indicate non-compliance with Section 3426 of the New York Insurance Law. Form, page and paragraph references that bring the submission into compliance must be included. Failure to complete all items, or responses in the shaded area, will result in this filing being returned without further review.

I. SPECIFIC CANCELLATION/NONRENEWAL PROVISIONS

A. CANCELLATIONS

- | | | | |
|--|------------------------------|------------------------------------|--|
| 1. The policy provides at least 20 days notice if cancellation occurs during the first 60 days. [§3426(b)] | YES <input type="checkbox"/> | NO <input type="checkbox"/> | |
| 2. After the first 60 days, a cancellation notice must: | | | |
| a. Give at least 15 days notice [§3426(c)] | YES <input type="checkbox"/> | NO <input type="checkbox"/> | |
| b. Be only for the reason permitted by §3426(c) | YES <input type="checkbox"/> | NO <input type="checkbox"/> | |

B. NONRENEWAL/CONDITIONAL RENEWALS

- | | | | |
|---|------------------------------|------------------------------------|--|
| 1. Notice must be given a minimum of 60 days and maximum of 120 days notice. [§3426(e)(3)] | YES <input type="checkbox"/> | NO <input type="checkbox"/> | |
| 2. Conditional renewal notice must be sent in the event of: | | | |
| a. Change of limits [§3426(e)(1)(B)] | YES <input type="checkbox"/> | NO <input type="checkbox"/> | |
| b. Change in type of coverage [§3426(e)(1)(B)] | YES <input type="checkbox"/> | NO <input type="checkbox"/> | |
| c. Reduction of coverage [§3426(e)(1)(B)] | YES <input type="checkbox"/> | NO <input type="checkbox"/> | |
| d. Increased deductible [§3426(e)(1)(B)] | YES <input type="checkbox"/> | NO <input type="checkbox"/> | |
| e. Additional exclusion [§3426(e)(1)(B)] | YES <input type="checkbox"/> | NO <input type="checkbox"/> | |
| f. Premium increase in excess of 10% [§3426(e)(1)(B)] | YES <input type="checkbox"/> | NO <input type="checkbox"/> | |
| 3. The notice must be mailed to the insured <u>and</u> the insured's agent or broker. [§3426(e)(1)] | YES <input type="checkbox"/> | NO <input type="checkbox"/> | |

II. OTHER PROVISIONS

- | | | | |
|--|------------------------------|-------------------------------------|-----------------------------|
| 1. Are there any provisions, other than those in §3426(d)(2), limiting the term of the policy to less than one year? | | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| 2. Aggregate limit of expiring policy is increased in proportion to any policy extension as a result of late notice. [§3426(e)(6)] | YES <input type="checkbox"/> | NO <input type="checkbox"/> | |

NOTE: All citations are to the applicable paragraphs of Section 3426 (NYIL).