

**NEW YORK STATE DEPARTMENT OF FINANCIAL SERVICES**

**CREDIT CARD, DEBIT CARD OR CHECKING ACCOUNT GROUP POLICIES FORM FILING  
COMPLIANCE QUESTIONNAIRE**

<b>COMPANY</b>	<b>Co. File No.</b>
<b>Company Contact:</b>	<b>Phone Number:</b>
<b>E-Mail Address:</b>	

**Instructions: All applicable items must be answered. Responses in the shaded area indicate non-compliance with Section 3442 of the Insurance Law. Form, page and paragraph references that bring the submission into compliance must be included. Failure to complete all items, or responses in the shaded area, will result in this filing being returned without further review.**

- |  |                              |                              |                             |
|--|------------------------------|------------------------------|-----------------------------|
| 1. Is the account group as defined under [§3442(a)(2)(A)] and [§3442(a)(2)(B)]?                    | YES <input type="checkbox"/> | NO <input type="checkbox"/>  |                             |
| 2. Does the group policy provide coverage as stated in [§3442(d)(1)] through [§3442(d)(7)]?        | YES <input type="checkbox"/> | NO <input type="checkbox"/>  |                             |
| 3. Do the cancellation provisions comply with §3442(n)?  | YES <input type="checkbox"/> | NO <input type="checkbox"/>  |                             |
| 4. Do nonrenewal and conditional renewal provisions comply with [§3442(n)(3)(B)] and [§3442(n)(5)] | YES <input type="checkbox"/> | NO <input type="checkbox"/>  |                             |
| 5. Does the policy or certificate have termination provisions which violates [§3442(n)(7)(A)]?     |                              | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| 6. Do policies and certificates have different coverages, exclusions or conditions?                |                              | YES <input type="checkbox"/> | NO <input type="checkbox"/> |

**NOTE: All citations in Brackets are to the applicable Sections 3442 of the Insurance Law.**