

OFFICIAL COMPILATION OF CODES, RULES AND REGULATIONS OF THE STATE OF NEW YORK  
TITLE 3. BANKING  
CHAPTER III. SUPERINTENDENT'S REGULATIONS  
SUBCHAPTER A. BANKING ORGANIZATIONS  
PART 301. SECURITY AT AUTOMATED TELLER FACILITIES

Section 301.6. Report of compliance.

(a)

(1) The semi-annual report of compliance required to be filed pursuant to the provisions of section 75-g of the Banking Law shall be filed [within 75 days after the close of each calendar year covering the preceding calendar year] with the Department of Financial Services no later than the fifteenth day of January and July of each year or the following business day if that day is not a business day. This report shall be certified, under the penalties of perjury, and shall contain language substantially similar to the following:

I, \_\_\_\_\_, (person at the institution charged with enforcing compliance with article II-AA of the Banking Law) hereby certify, under the penalties of perjury, that all answers contained herein are true, accurate and complete.

[(2)] (A) All of the automated teller machine facilities operated by \_\_\_\_\_ (name of institution) which are subject to the provisions of article II-AA of the Banking Law (choose one or more of the following, as applicable):

(i) \_\_\_\_\_ are in full compliance with the provisions of that article; and/or

(ii) \_\_\_\_\_ are in full compliance with the variance or exemption (as the case may be) granted by the superintendent for the automated teller machine facility (or facilities) located at \_\_\_\_\_ (specific address); and/or

(iii) \_\_\_\_\_ are not in compliance with the provisions of article II-AA.

[(3)](B) \_\_\_\_\_ (name of institution) uses and maintains only T-120 (commercial/industrial) grade video tapes, or better, in accordance with the provisions of section 301.5 of this Part.

[(i)](2) In cases in which some or all of a banking institution's automated teller machine facilities are not in compliance with the provisions of article II-AA, the semi-annual report shall indicate the following additional information:

[(a)](A) the specific address of each such facility;

[(b)](B) the manner in which each such facility fails to meet the requirements of that article and the reasons for such non-compliance; and

[(c)](C) a plan to remedy such non-compliance at each such facility, including the expected correction date.

(b) [Upon notification] After notice of any violation of the provisions of section 75-c of the Banking Law is provided to the Department in any semi-annual report or such banking institution is notified of any violation of section 75-c of the Banking Law, such banking institution shall file a report of corrective action [required] pursuant to section 75-[j]g(2) of the Banking Law [shall be filed within] no later than 10 business days [from] following the filing of the semi-annual report or receipt of such notification of violation. That report shall be certified, under the penalties of perjury, and shall contain language substantially similar to the following:

I, \_\_\_\_\_, (person at the institution charged with enforcing compliance with article II-AA of the Banking Law) hereby certify, under the penalties of perjury, that all answers contained herein are true, accurate and complete. The automated teller machine facility operated by \_\_\_\_\_ (name of institution) located at \_\_\_\_\_ (specific address) which is the subject of one or more violations of the provisions of section 75-c of the Banking Law, is (chose one of the following):

(1) \_\_\_\_\_ in full compliance with the provisions of section 75-c as of \_\_\_\_\_ (date); or

(2) \_\_\_\_\_ not presently in compliance with the provisions of section 75-c and the annexed remedial plan has been implemented and shall be completed by \_\_\_\_\_ [(date no later than 30 days after initial notification of violation from the Department of Financial Services)]; upon the date of completion of the remedial plan, \_\_\_\_\_ (name of institution) shall file a certified report of compliance with the Department of Financial Services stating that the location meets the requirements of section 75-c. Annexed hereto is a description of the remedial plan.