PERSONAL QUESTIONNAIRE (Please print or type)

Full name:		Soc. Sec. no:	
First	Middle	Last	
Have you ever been known of your name?	vn by, or used, any	other name or spelling variation	Yes No
If so, list such name(s) ar	nd explain the circu	imstances thereof.	
Home Address:			
How long at this	address?		
			arting
Present employment			
Firm name:	e:		
Nature of busines			
Telephone numb Name of immedi	ate superior:		
Date of birth:		Place of birth:	V N.
Citizenship:		Right-to-work in USA:	Yes No
Passport no: Country of issue:		Visa type: Expiration date:	
	of your name? If so, list such name(s) are the so, list such name and ending at this at the solution of the solutio	of your name? If so, list such name(s) and explain the circumber. Home Address: How long at this address? Provide the addresses of all former residence and ending dates when such residences were and ending dates when such residences were such as a contract of the such as a	If so, list such name(s) and explain the circumstances thereof. Home Address: How long at this address? Provide the addresses of all former residences during the past 10 years, including stand ending dates when such residences were utilized. Present employment Firm name: Business address: Nature of business: Title: Telephone number: Name of immediate superior: Date employment started: Date of birth: Citizenship: Right-to-work in USA:

	Dependents: Name (first, middle initial, last)	Relationship	Age
7.	Father's name: Address:	Mother's name: Address:	
	Occupation: Date of birth: Place of birth: If deceased, date and place of death:	Occupation: Date of birth: Place of birth: If deceased, date and	place of death:
8.	Service in Armed Forces (a) Have you ever served in the armed to United States? If "yes", branch of armed forces		Yes No () (
	(b) Date of entry to active service		
	(c) Date released from active service		
	(d) Service serial number		
	(e) Have you ever received a discharge armed forces which was other thanIf "yes", please provide a full explar discharge, and when and where iss	honorable? nation, including the type of	Yes No
9.	Name and Address	Dates of Major Area	Degree Granted

	<u>Education</u>	of School	Attend	dance o	f Study	and Date Awa	<u>rded</u>
	High School						
	College, University (Undergraduate) College, University (Graduate) Professional or Technical School						
10.	Are you licensed or ce	rtified to practice	anv profession((s)?		Yes	No ()
	If "yes", give d	•	,,	, ,		,	()
	(a) Nature of li	cense or certifica	ate:				
		d:license or certific					
	(d) Licensing a	agency and addre	ess:				
	(e) Extent of a	ctivity thereunder	r:				
	(f) Have you e	ver had a license	or certificate re	voked or sus	spended?	Yes ()	No ()
	If "yes", pro	vide details on a	separate sheet				
11.	Employment record for Use additional sheets it					n.	
	Name & Address Dates of of Employer Position Immediate Reason for Leaving						oal ess

12.	Are you self-employed?	()	()
	If "yes", describe each enterprise, including the name, address, state of incorporation, you percentage of ownership, and the type of business of each corporate or other entity which you own or control. (Control means ownership of 10% or more of the stock or the ability to effectively control the management of the corporation or other entity.)				
	List names, addresses, and percentages of control and/or ownership of other incorporator partners, directors, or officers of the entity or corporation referred to above.	rs,			
13.	Are you employed in any professional capacity, or do you perform any services for or have business connections with any institution which is subject to the supervision of the Departs of Financial Services, or any agency or authority of the State of New York?		nt es	N (o)
	If "yes", indicate name of the institution, address, and nature of your work.				
14.	Have you had, or do you now have, any financial interest, direct or indirect, in any institution under the supervision of any authority or agency in New York State, or any other state?	on Ye (es)	N (o)
	If "yes", give name of institution, address, and nature and extent of interest and the jurisdiction in which the organization is chartered.				

you have known each	person; <u>do not</u>	include relatives	or current business assoc	State how long siates.)
(b) List the names and	complete stree	et addresses (incl	uding zip codes) of three	professional
references who car	n attest to your	character, fitness	s, reputation, professional polyed in the present application	competence,
Have you any outstand	ling debts in ex	cess of \$10,000?	(Use additional sheets if	f necessary.) Yes
If "yes", list these debt	S.			()
Name and Address of Creditor	Account <u>Number</u>	Date <u>Originated</u>	Original Credit Limit Amount	Balance Outstanding

	(a) Are any arrests, indictments, criminal information, or other criminal proceedings now pending against you as an individual, partner, director, or officer of a corporation/partnership?			N (lo)
	(b) Were you ever convicted of a crime in any of the aforementioned capacities?	()	()
	(c) Have you ever been sued or has any judgment been obtained against you in any of the aforementioned capacities in any civil action in any jurisdiction?(d) Are or have you or any partnership of which you are or were a member or any corporation of which you are or were an officer, director, or 10% or more stockholder	()	()
	ever been adjudged a bankrupt or ever been involved in a civil or criminal action, either as defendant or plaintiff or as the subject of any pending or threatened litigation or claim?	()	()
	(e) Have you, in any of the aforementioned capacities, ever initiated or been named in any administrative or disciplinary proceedings?	()	()
	(f) Has your salary ever been garnished?	()	()
	If your answer to any of the above questions is "yes", on a separate sheet of paper list the dates, name, and location of the court of jurisdiction or administrative agency and a brief description of each action or charge and its disposition. Report all legal actions, regardless of disposition.				
18.	Are or have you or any enterprise in which you were a partner, director, officer, or 10% or more stockholder been the subject of federal or state administrative proceedings, criminal indictment, criminal information, or other criminal proceeding?	()	()
	If "yes", please explain.				
19.	Have you and any enterprise in which you are a partner filed required federal, state, and local tax returns for the previous three calendar years?	()	()
	If "no", please explain the circumstances, and include the date on which any applications for extension have been filed.				
oroces York P	The undersigned affirms that the statements made and answers given herein are accurate and complexes the New York State Department of Financial Services to make any inquiry it deems appropriate in sing this questionnaire. False written statements in this questionnaire are punishable under Section 21 enal Law (making a punishable false written statement) and also will be sufficient cause for denial of a light York State Department of Financial Services.	con 0.4	nect 5 of	tion the I	with Vew
Date: _	Signature:				