## NEW YORK STATE DEPARTMENT OF FINANCIAL SERVICES

PERSONAL LINES PROPERTY INSURANCE FORM FILING COMPLIANCE QUESTIONNAIRE											
CO	MPA	NY	Co. File No.								
Coı	npai	ny Contact:	Phone Number:								
E-Mail Address:											
Instructions: All applicable items must be answered. Responses in the shaded area indicate non-compliance with Articles 31, 34 and 54 of the Insurance Law. Failure to complete all items, or responses in the shaded area, will result in this filing being returned without further review.											
	I. All Delicies										
I.	All a.	Policies Policy complies with §3404 (complete §3404 checklist)	YES 🗌	NO 🗌	1						
		Policy complies with §3425 (complete §3425 checklist)	YES	NO □							
	b.		TES [								
	C.	Policy contains provisions that violate §3105 or §3102 NYIL		YES 🗆	NO 🗆						
	d.	Are there any provisions that are unfairly discriminatory or misleading?		YES 🗆	NO 🗆						
	e.	Declaration Page contains all rating information (location, construction, class, etc.)	YES 🗌	NO 🗆							
	f.	Does the policy contain the name of an unlicensed company?		YES 🗆	NO □						
	g.	Are there any blank forms?		YES 🗆	NO 🗆						
	h.	Are all forms numbered?	YES 🗌	NO 🗆							
	i.	Does the policy contain a liberalization clause?	YES 🗌	NO 🗆							
	j.	Does the policy comply with §3407(b)?	YES 🗌	NO 🗆							
	k.	If the filing provides coverage for personal liability, (i) Does the duty to defend end with the payment of the limit of liability, even though there has not been final judgment or settlement?	NO 🗆	YES 🗆							
		(ii) Does the policy provide defense for existing claims although the policy limit may be exhausted?	YES 🗌	NO 🗆							
II.	N.Y	'.P.I.U.A. Policies									
	a.	In addition to the requirements of Section 1, does the filing comply with Article 54 of the Insurance Law?	YES 🗌	NO 🗆							
NO	TE: 1	All citations in Brackets are to the applicable sections of Ar	ticle 31. 34 an	d 54 and of	the Insurance Law.						

Form Number: PLP (Ed. 10/2018)

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COMPANY		IST OF FC	RMS IN FI	LING		
Co. File No.						
Form/Endorsement Name	Form/Endorsement <u>Company Number</u>	New Form/ End't?	Revised Form/ End't?	Is Form Statutory or Mandatory In <u>Nature?</u>	Replacing Other Form/ <u>End't?</u>	If Yes, Name of Replaced Form

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COMPANY	LI	31 01 10	JKWIS IN I II	LING		
Co. File No.						
Form/Endorsement Name	Form/Endorsement Company Number	New Form/ End't?	Revised Form/ End't?	Is Form Statutory or <u>Mandatory?</u>	Replacing Other Form/ <u>End't?</u>	If Yes, Name of Replaced Form
		П		П	П	

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