New York State Minority and Women-Owned Business Enterprise (MWBE) Program
Approved Utilization Plans/Waivers: Fiscal Year 2018/2019 - 2019/2020

Agency Name:	Department of Financial Services
Date:	6/7/2019

Agency Contact:	
Name:	Nina Doss
Title:	Financial Services Examiner 2
Email Address:	rfp@dfs.ny.gov

(1) Description of Contract Scope Includes:
- Construction
- Non Construction
- Commodities
- Services

(2) Description of Approved Waiver Includes:
- Lack of MWBE firms available
- MWBE firms were non responsive to solicitations
- Insufficient subcontracting opportunities
- Specialized work/knowledge/equipment required
- Location impacted availability
- MWBE firms responded to solicitation but declined to bid
- Change in budget and/or project phasing limited the scope for subcontracting
- Change in timeline affected MWBE utilization and availability
- MWBE bid(s) were too high compared to non-MWBE
- No MWBE waiver requested
- Other

Contract Number	Prime Contractor Name	Contract term dates	Contract Amount	(1) Description of Contract Scope	MWBE Certified Subcontractor Name	(1) Description of Subcontract scope	(2) Description of Appoved Waiver
C000455	Risk & Regulatory Consulting, LLC	3/1/18-10/30/18	$870,000	Services	n/a		Specialized work/knowledge/equipment required
T170961	Pinnacle Actuarial Resources, Inc.	4/1/18-3/31/19	$49,000	Services	n/a		Specialized work/knowledge/equipment required
T170977	Wakely Consulting Group, LLC	6/13/18-8/31/18	$38,950	Services	n/a		Specialized work/knowledge/equipment required
T170988	Enterprise Knowledge Partners, LLC	6/28/18-8/31/18	$49,999	Services	n/a		Specialized work/knowledge/equipment required