

New York State Department of Financial Services

ISSUED: February 26, 2018

NEW YORK STATE DEPARTMENT OF FINANCIAL SERVICES TAKES DISCIPLINARY ACTIONS AGAINST COMPANIES, AGENTS, BROKERS & ADJUSTERS

The New York State Department of Financial Services has taken disciplinary action against the following licensees. Those categorized as stipulations have been agreed to by the licensee. Department actions that result from Department hearings are subject to judicial review and possible stay of enforcement.

STIPULATIONS

Region: New York

LICENSEE	ADDRESS	PENALTY
Joshua Mandel (Agent)	330 West 45 th Street New York, NY 10036	\$500 fine
Respondent failed to report to the Superintendent within 30 days of the final disposition of the matter that the Connecticut Insurance Department fined Respondent and placed all insurance licenses issued to Respondent on a probationary status for a one-year period. [Stipulation approved January 8, 2018.]		

Region: Rochester

LICENSEE	ADDRESS	PENALTY
The Greg Lewis Agency LLC (Agent, Broker, and Life Broker)	647 Pittsford-Victor Road Pittsford, NY 14534	\$2,400 fine
Gregory J. Lewis (Agent, Broker, Life Broker, and Sublicensee)	Same as above	
Respondents acted as property/casualty insurance agents in the name of Respondent The Greg Lewis Agency LLC in the State of New York while Respondent The Greg Lewis Agency LLC had no license to act as an agent pursuant to Section 2103(b) of the Insurance Law. [Stipulation approved December 19, 2017.]		

Region: Rockland

LICENSEE	ADDRESS	PENALTY
Go Inspire Inc. (Agent)	28 Park Avenue Airmont, NY 10952	\$2,000 fine
Andrew T. Kaufman (Sublicensee)	Same as above	
Respondents acted as insurance producers in the name of Respondent Go Inspire Inc. while Respondent Go Inspire Inc. had no license issued and in force pursuant to the provisions of the Insurance Law. [Stipulation approved January 4, 2018.]		

Region: Out of State

LICENSEE	ADDRESS	PENALTY
AAA East Central (Agent)	5900 Baum Boulevard Pittsburgh, PA 15206	\$4,250 fine
Tracy K. Edwards (Sublicensee)	Same as above	
Respondents acted as insurance producers in the name of Respondent AAA East Central in the State of New York while Respondent AAA East Central had no license issued and in force pursuant to the provisions of the Insurance Law. [Stipulation approved January 16, 2018.]		

LICENSEE	ADDRESS	PENALTY
THP Insurance Services Company (Independent Adjuster)	52160 National Road East St. Clairsville, OH 43950	\$750 fine
Jeffrey M. Knight (Sublicensee)	Same as above	
Respondents failed to disclose in Respondent THP Insurance Services Company's original application for an independent adjuster's license that Respondent THP Insurance Services Company was fined by the Insurance Commissioner of the State of West Virginia in 2011 and in 2005. [Stipulation approved January 16, 2018.]		

LICENSEE	ADDRESS	PENALTY
Susan A. Michals Insurance Agency Inc. (Agent and Broker)	85 Main Street Watertown, MA 02472	\$2,400 fine
Bradly S. Michals (Sublicensee)	Same as above	
<p>Respondents acted as insurance producers in the name of Respondent Susan A. Michals Insurance Agency Inc. in the State of New York while Respondent Susan A. Michals Insurance Agency Inc. had no license issued and in force pursuant to the provisions of the Insurance Law. [Stipulation approved January 4, 2018.]</p>		

LICENSEE	ADDRESS	PENALTY
John A. Parks Co. Inc. (Agent)	164 North Glengarry Road Bloomfield Hills, MI 48301	\$2,250 fine
Stephen Ralph Parks (Agent, Broker, and Sublicensee)	Same as above	
<p>Respondents acted as property/casualty insurance agents in the name of Respondent John A. Parks Co. Inc. in the State of New York after the license of Respondent John A. Parks Co. Inc. to act as an agent pursuant to Section 2103(b) of the Insurance Law expired. Respondents also failed to disclose in the relicensing application for an agent's license of Respondent John A. Parks Co. Inc. that Respondent John A. Parks Co. Inc. was fined by the Florida Department of Financial Services, and that Respondent John A. Parks Co. Inc. acted as a property/casualty insurance agent in the State of New York after its license to act as an agent pursuant to Section 2103(b) of the Insurance Law expired. Additionally, Respondent Stephen Ralph Parks failed to disclose the aforementioned Florida fine in his original application for a broker's license. [Stipulation approved December 22, 2017.]</p>		

LICENSEE	ADDRESS	PENALTY
The Schantz Agency Inc. (Agent)	302 Third Street Neptune Beach, FL 32266	\$1,250 fine
<p>Respondent acted as an insurance producer in the State of New York without having authority to do so by virtue of a license issued and in force pursuant to the provisions of the Insurance Law. [Stipulation approved January 4, 2018.]</p>		

LICENSEE	ADDRESS	PENALTY
Shane Adam Smith (Agent)	1217 East Longfellow Avenue Spokane, WA 99207	\$750 fine
Respondent failed to disclose in his renewal application for an agent's license that he was fined by the Minnesota Department of Commerce. [Stipulation approved January 8, 2018.]		

LICENSEE	ADDRESS	PENALTY
Terry L. Westlund (Agent and Life Broker)	11225 College Blvd. Overland Park, KS 66210	\$500 fine
Respondent failed to report to the Superintendent within 30 days of the final disposition of the matter that the Wisconsin Office of the Commissioner of Insurance denied Respondent's application for a permanent individual intermediary agent's insurance license for a period of 31 days. [Stipulation approved December 28, 2017.]		