

Certificate Of Compliance  
With Section 296-a Of The  
Executive Law Of The  
State Of New York  
(For Banking Organizations And  
Other Applicants In Formation)

In connection with the application of \_\_\_\_\_  
(name of institution)

\_\_\_\_\_ to the  
Superintendent of Financial Services

for \_\_\_\_\_  
(type of application)

pursuant to Section \_\_\_\_\_ of the New York Banking Law, the undersigned hereby certifies that the applicant will comply in all respects with Section 296-a of the Executive Law of the State of New York.

\_\_\_\_\_  
(name of organization)

By \_\_\_\_\_  
(print or type name and title beneath signature)