

NOTICE

By _____

OF INTENTION TO ESTABLISH AND MAINTAIN A TRUST OFFICE

Notice is hereby given of the intention of the above-named institution (the "Applicant") to establish and maintain a trust office pursuant to Section 105-b of the New York Banking Law at

_____.

Additional information concerning the proposal may be obtained from (name, telephone number, and e-mail address) _____

_____.

The following statements are made in support of the notice:

(1) The Board of Directors of the Applicant has adopted a resolution authorizing this notice and designating the undersigned to submit it.

(2) The proposed activities of the office are as follows: _____

_____.

(3) The costs of establishing the office are estimated at \$_____, of which \$_____ is for acquisition of land and buildings, or leasehold improvements. The contemplated expenditure for bank premises shall be incurred within the statutory limits set forth in Banking Law Section 98.1(a).

(4)(a) The Applicant has, or will have, the right to occupy the premises necessary for the proposed office by virtue of () a lease (or an option to lease), () a purchase agreement (or an option to purchase), or () the following arrangement: _____

_____.

(b) No lease (or similar agreement) for the proposed premises will contain a clause prohibiting the landlord from renting space to another banking institution, in accordance with Section 674-a of the New York Banking Law.

(5) The proposed site has been appropriately zoned to permit the operation of the proposed office.

(6) No officer, director, employee, or major stockholder of the applicant has an interest, direct or indirect, in the site or premises at the proposed office location, or in the construction of such premises, except as follows (if no exceptions, so state): _____

_____.

(7) Establishment of the proposed office does not conflict with any of the provisions of the New York State Historic Preservation Act.

(8) The proposed office is expected to become operational on or about _____
_____.

The undersigned hereby certifies that the above is true and correct.

(signature of authorized officer)

Date: _____

(type/print name and title)

Enclosure:

_____ Check for [please refer to the Department's [Application Fee Schedule](#)] payable to the "Superintendent of Financial Services"