

NEW YORK STATE DEPARTMENT OF FINANCIAL SERVICES

COMMERCIAL LINES CANCELLATION AND NONRENEWAL FORM FILING COMPLIANCE QUESTIONNAIRE

COMPANY	Co. File No.
Company Contact:	Phone Number:
E-Mail Address:	

Instructions: All applicable items must be answered. Responses in the shaded area indicate non-compliance with Section 3426 of the New York Insurance Law. Failure to complete all items, or responses in the shaded area, will result in this filing being returned without further review.

I. SPECIFIC CANCELLATION/NONRENEWAL PROVISIONS

A. CANCELLATIONS

1. The policy provides at least 20 days notice if cancellation occurs during the first 60 days. [§3426(b)] YES NO

2. After the first 60 days, a cancellation notice must:

a. Give at least 15 days notice [§3426(c)] YES NO

b. Be only for the reason permitted by §3426(c) YES NO

B. NONRENEWAL/CONDITIONAL RENEWALS

1. Notice must be given a minimum of 60 days and maximum of 120 days notice. [§3426(e)(3)] YES NO

2. Conditional renewal notice must be sent in the event of:

a. Change of limits [§3426(e)(1)(B)] YES NO

b. Change in type of coverage [§3426(e)(1)(B)] YES NO

c. Reduction of coverage [§3426(e)(1)(B)] YES NO

d. Increased deductible [§3426(e)(1)(B)] YES NO

e. Additional exclusion [§3426(e)(1)(B)] YES NO

f. Premium increase in excess of 10% [§3426(e)(1)(B)] YES NO

3. The notice must be mailed to the insured and the insured's agent or broker. [§3426(e)(1)] YES NO

II. OTHER PROVISIONS

1. Are there any provisions, other than those in §3426(d)(2), limiting the term of the policy to less than one year? YES NO

2. Aggregate limit of expiring policy is increased in proportion to any policy extension as a result of late notice. [§3426(e)(6)] YES NO

NOTE: All citations are to the applicable paragraphs of Section 3426 (NYIL).