

**NEW YORK STATE DEPARTMENT OF FINANCIAL SERVICES**

**CREDIT CARD, DEBIT CARD OR CHECKING ACCOUNT GROUP POLICIES FORM FILING COMPLIANCE QUESTIONNAIRE**

<b>COMPANY</b>	<b>Co. File No.</b>
<b>Company Contact:</b>	<b>Phone Number:</b>
<b>E-Mail Address:</b>	

**Instructions: All applicable items must be answered. Responses in the shaded area indicate non-compliance with Section 3442 of the Insurance Law. Failure to complete all items, or responses in the shaded area, will result in this filing being returned without further review.**

- |  |                              |                              |                             |
|--|------------------------------|------------------------------|-----------------------------|
| 1. Is the account group as defined under [§3442(a)(2)(A)] and [§3442(a)(2)(B)]?                    | YES <input type="checkbox"/> | NO <input type="checkbox"/>  |                             |
| 2. Does the group policy provide coverage as stated in [§3442(d)(1)] through [§3442(d)(7)]?        | YES <input type="checkbox"/> | NO <input type="checkbox"/>  |                             |
| 3. Do the cancellation provisions comply with §3442(n)?  | YES <input type="checkbox"/> | NO <input type="checkbox"/>  |                             |
| 4. Do nonrenewal and conditional renewal provisions comply with [§3442(n)(3)(B)] and [§3442(n)(5)] | YES <input type="checkbox"/> | NO <input type="checkbox"/>  |                             |
| 5. Does the policy or certificate have termination provisions which violates [§3442(n)(7)(A)]?     |                              | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| 6. Do policies and certificates have different coverages, exclusions or conditions?                |                              | YES <input type="checkbox"/> | NO <input type="checkbox"/> |

**NOTE: All citations in Brackets are to the applicable Sections 3442 of the Insurance Law.**