

# NEW YORK STATE DEPARTMENT OF FINANCIAL SERVICES

## CONSENT-TO-RATE FILING COMPLIANCE QUESTIONNAIRE

<b>COMPANY</b>	<b>Co. File No.</b>
<b>Company Contact:</b>	<b>Phone Number:</b>
<b>E-Mail Address:</b>	

**Instructions: All applicable items must be answered. Responses in the shaded area indicate non-compliance with §2309 of the Insurance Law. Failure to complete all items, or responses in the shaded area, will result in this filing being returned without further review.**

1.	Does the filing contain the endorsement reflecting the request with the insured's original signature?	YES <input type="checkbox"/>	NO <input type="checkbox"/>	
2.	Does the endorsement indicate the reason(s) for the excess rate?	YES <input type="checkbox"/>	NO <input type="checkbox"/>	
3.	Does the endorsement contain the insured's name and address?	YES <input type="checkbox"/>	NO <input type="checkbox"/>	
4.	Does the endorsement contain the location of the property?	YES <input type="checkbox"/>	NO <input type="checkbox"/>	
5.	Does the endorsement contain the requested limits of coverage?	YES <input type="checkbox"/>	NO <input type="checkbox"/>	N/A <input type="checkbox"/>
6.	Does the endorsement contain the name of the insurance company and policy number?	YES <input type="checkbox"/>	NO <input type="checkbox"/>	
7.	Do the dates of coverage exceed one year?		YES <input type="checkbox"/>	NO <input type="checkbox"/>
8.	Does the filing contain the types(s) of coverage the endorsement is applicable to?	YES <input type="checkbox"/>	NO <input type="checkbox"/>	
9.	Does the endorsement contain the rate/premium being charged?	YES <input type="checkbox"/>	NO <input type="checkbox"/>	N/A <input type="checkbox"/>
10.	Does the filing contain the basis for the rate/premium being charged?	YES <input type="checkbox"/>	NO <input type="checkbox"/>	
11.	Does the filing contain the manual rate/premium?	YES <input type="checkbox"/>	NO <input type="checkbox"/>	
12.	Does the filing contain the % deviation from manual rate?	YES <input type="checkbox"/>	NO <input type="checkbox"/>	N/A <input type="checkbox"/>
13.	If the filing is being submitted after the effective date of the endorsement, is an explanation provided?	YES <input type="checkbox"/>	NO <input type="checkbox"/>	N/A <input type="checkbox"/>