

**NEW YORK STATE DEPARTMENT OF FINANCIAL SERVICES**

**INLAND MARINE TRAVEL PROPERTY INSURANCE FORM FILING  
COMPLIANCE QUESTIONNAIRE**

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<b>COMPANY</b>	<b>Co. File No.</b>
<b>Company Contact:</b>	<b>Phone Number:</b>
<b>E-Mail Address:</b>	

**Instructions: All applicable items must be answered. Responses in the shaded area indicate non-compliance. References are made to Section 3452 of the Insurance Law and to Office of General Counsel Opinion No. 10-02-06 dated February 23, 2010. Failure to complete all items, or responses in the shaded area, will result in this filing being returned without further review.**

**I. Inland Marine Property Travel Policies**

- |   |                              |  |
|---|------------------------------|--|
| a. Group property travel policyholder may only be issued to any railroad company, steamship company, carrier by air, public bus carrier or other common carrier of passengers where the policy insures its passengers (§3452(a)(3)) | YES <input type="checkbox"/> | NO <input type="checkbox"/>                              |
| b. Policy provides trip cancellation, trip interruption, baggage, and personal effects when limited to a specific trip (§3452(a)(4))  | YES <input type="checkbox"/> | NO <input type="checkbox"/>                              |
| c. Coverage is limited to the group member's risks with respect to a particular trip (§3452(a)(5))  | YES <input type="checkbox"/> | NO <input type="checkbox"/>                              |
| d. A person is not required to purchase any product or service to be eligible for coverage or required to purchase coverage as a condition of becoming a passenger or group member (§3452(a)(6))                                    | YES <input type="checkbox"/> | NO <input type="checkbox"/>                              |
| e. All eligible group members of the same class are treated in the same manner (§3452(b))   | YES <input type="checkbox"/> | NO <input type="checkbox"/>                              |
| f. The policy and certificate comply with the requirements of §3452(c)(1) – (7) that apply to cancellation, nonrenewal or conditional renewal   | YES <input type="checkbox"/> | NO <input type="checkbox"/>                              |
| g. The group policy, master policy or certificate has aggregate liability limits or a maximum coverage limit cap  |                              | Yes <input type="checkbox"/> NO <input type="checkbox"/> |
| h. The group policy provides coverage for loss of or damage to a vehicle that an insured rents on a trip [OGC Op. No. 10-02-06 dated 02/23/2010]  |                              | YES <input type="checkbox"/> NO <input type="checkbox"/> |
| i. Does the policy contain a "Cancel for Any Reason Waiver" or "Change of Mind" provision [OGC Op. No. 10-02-06 dated 02/23/2010]   |                              | YES <input type="checkbox"/> NO <input type="checkbox"/> |
| j. The triggering events for trip cancellation/trip interruption are fortuitous (§1101) [OGC Op. No. 10-02-06 dated 02/23/2010]   | YES <input type="checkbox"/> | NO <input type="checkbox"/>                              |
| k. Are there any blank forms?   |                              | YES <input type="checkbox"/> NO <input type="checkbox"/> |
| l. Are all forms numbered?  | YES <input type="checkbox"/> | NO <input type="checkbox"/>                              |
| m. The bracketed information in the form contains the full range of variation and corresponding rules that contain the application of variation   | YES <input type="checkbox"/> | NO <input type="checkbox"/>                              |
| n. The coverages under trip cancellation/trip interruption are for losses actually incurred by the insured that are caused by the trip cancellation or trip interruption) [OGC Op. No. 10-02-06 dated 02/23/2010]                   | YES <input type="checkbox"/> | NO <input type="checkbox"/>                              |
| o. The filing complies with the guidance for property insurance policies insuring travel risks provided in OGC Op. No. 10-02-06 dated 02/23/2010  | YES <input type="checkbox"/> | NO <input type="checkbox"/>                              |
| p. The travel filing for rates, rules and rating plans contains the Rate Filing Sequence Checklist  | YES <input type="checkbox"/> | NO <input type="checkbox"/>                              |