

NEW YORK STATE DEPARTMENT OF FINANCIAL SERVICES

PERSONAL LINES PROPERTY INSURANCE FORM FILING COMPLIANCE QUESTIONNAIRE

PAGE 1 OF

COMPANY	Co. File No.
Company Contact:	Phone Number:
E-Mail Address:	

Instructions: All applicable items must be answered. Responses in the shaded area indicate non-compliance with Articles 31, 34 and 54 of the Insurance Law. Failure to complete all items, or responses in the shaded area, will result in this filing being returned without further review.

- I. All Policies**
- a. Policy complies with §3404 (complete §3404 checklist) YES NO
 - b. Policy complies with §3425 (complete §3425 checklist) YES NO
 - c. Policy contains provisions that violate §3105 or §3102 NYIL YES NO
 - d. Are there any provisions that are unfairly discriminatory or misleading? YES NO
 - e. Declaration Page contains all rating information (location, construction, class, etc.) YES NO
 - f. Does the policy contain the name of an unlicensed company? YES NO
 - g. Are there any blank forms? YES NO
 - h. Are all forms numbered? YES NO
 - i. Does the policy contain a liberalization clause? YES NO
 - j. Does the policy comply with §3407(b)? YES NO
 - k. If the filing provides coverage for personal liability,
 - (i) Does the duty to defend end with the payment of the limit of liability, even though there has not been final judgment or settlement? NO YES
 - (ii) Does the policy provide defense for existing claims although the policy limit may be exhausted? YES NO
- II. N.Y.P.I.U.A. Policies**
- a. In addition to the requirements of Section 1, does the filing comply with Article 54 of the Insurance Law? YES NO

NOTE: All citations in Brackets are to the applicable sections of Article 31, 34 and 54 and of the Insurance Law.

