CERTIFICATE OF COMPLIANCE
BY CAPTIVE INSURER
For Issuance of Renewal License Under N.Y. Insurance Law §7003(e)

This is to Certify that [name of captive insurer] , to the best of the knowledge, information and belief of the undersigned officer, remains in full compliance with each of the applicable provisions governing the conduct of the business of a captive insurer set forth in Article 70 of the New York State Insurance Law.

Signature:_____

Name and Title:_____

STATE OF _____)
)ss.:
COUNTY OF _____)

Subscribed and sworn to before

me this _____ day of _____, 20___.

_____
Notary Public