



One State Street, New York, NY 10004

An Equal Opportunity/Affirmative Action Employer

Announcement of Intention to Fill Job Vacancy

DEPUTY GENERAL COUNSEL FOR BANKING, NS

Location: One State Street, NYC

Business Unit: Office of General Counsel

Negotiating Unit: Management Confidential (MC)

Please note that a change in negotiating unit may affect your salary, insurance, and other benefits.

Salary: \$135,179 - \$170,030 (Salary commensurate with experience)

Please note that positions located within the New York City metropolitan area, as well as Suffolk, Nassau, Rockland, and Westchester Counties, are also eligible to receive an additional \$3,026 annual downstate adjustment.

Appointment Status: This is an appointment to a position in the exempt jurisdictional class.

Appointment to this position is pending Division of Budget approval to fill.

The Deputy General Counsel for Banking (Director Financial Services Programs 3) functions under the General Counsel and the Executive Deputy General Counsel in the Office of General Counsel and is required to handle, and, may supervise the handling of legal questions which arise in connection with the activities of the Department of Financial Services (DFS). The duties of the Deputy General Counsel for Banking will include, but not be limited to:

- Drafts legislation recommended by the DFS;
- Reviews and prepares memoranda on legislation which has been recommended by others and which may affect the work of the Department of State, chartered banking organizations and insurance companies;
- Drafts regulations for adoption by the Superintendent and drafts enforcement orders;
- Prepares litigation and administrative hearings and conducts liquidations;
- Reviews, comments and advises upon the legality of all actions which State-chartered banking organizations propose to take, and which, by statute or regulations, require the approval of the Superintendent;
- Passes upon the legal sufficiency of merger agreements, organization certificates and other certificates or documents filed with the DFS pursuant to the Banking Law or Corporation Laws;
- Requires consultations with attorneys for banking and insurance organizations and with representatives of the federal supervisory agencies;
- May supervise less experienced attorneys within the Office of General Counsel; and
- Other duties as assigned.

Preferred Qualifications:

Formal qualifications are not filed for this position however, the following will be considered:

- Familiarity with the Banking Law, Insurance Law and Financial Services Law, as well as Election Law and Public Officers Law.

- Ability to answer questions raised by Financial Service Examiners and others as to the legality of the actions taken by banking organizations and other financial entities.
- Superior written and legal research skills.
- Proficiency in preparing memoranda, particularly in summarizing documents reviewed.

Appointment Method:

This is an appointment to a position in the exempt jurisdictional class. As such, the incumbent of this position would serve at the pleasure of the appointing authority.

To Apply: Interested qualified candidates must submit a resume and letter of interest **no later than May 14, 2021**, to the email address listed below. Please include **(Box DGCB-10134)** in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Darlene Clemente
Box DGCB-10134
New York State Department of Financial Services
Office of Human Resources Management
99 Washington Avenue, Suite 301
Albany, New York 12257
Email: nce.notifications@dfs.ny.gov
Fax: (518) 402-5071

Please note that not all applicants may be scheduled for an interview.

AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job and the accommodation would not constitute an undue hardship on the operations of the agency.

Pursuant to Executive Order 161, no State entity, as defined by the Executive Order, is permitted to ask, or mandate, in any form, that an applicant for employment provide his or her current compensation, or any prior compensation history, until such time as the applicant is extended a conditional offer of

employment with compensation. If such information has been requested from you before such time, please contact the Governor's Office of Employee Relations at (518) 474-6988 or via email at info@goer.ny.gov.