



Department of Financial Services

KATHY HOCHUL
Governor

ADRIENNE A. HARRIS
Acting Superintendent

GENERAL ACCOUNT VALUATION FILING CHECK-LIST
December 31, 2021

Name of Domestic Company _____

Please confirm with a "YES", "NO" or "NOT APPLICABLE" that instructions have been followed.

1. VALUATION MATERIAL:

- a. Does a cover letter accompany the valuation submission? _____
- b. Is there a complete index of all material which contains electronic file references? _____
- c. Do detail listings contain page numbers, column headings, and the Company name? _____
- d. Is detail support at the cell level for the items specified in Item I.9 of the General Requirements provided? _____
- e. Do the summaries explicitly show the addition on one summary sheet when different sources are added together? _____
- f. Are translations of valuation codings as well as descriptions of reserve bases and plans included? _____

2. Has the Company provided an explanation for all instances where the Analysis of Valuation Reserves does not agree with the corresponding Exhibits or Schedules of the Annual Statement? _____

3. EDP submission verification: Please complete the following table: (Based on Criteria in Item III of Instructions for Filing Valuations)

	Gross Reserves as of 12/31/2021	Was an EDP System Filing Made?
a. Traditional Life	_____	_____
b. Interest Sensitive Life	_____	_____
c. Structured Settlement & Immediate Annuities	_____	_____
d. Accumulation-Type Annuities	_____	_____

4. Does the Company have Group Life Insurance in force? _____
If yes, how many Group Life Insurance Questionnaires are included in the submission? _____

5. Does the Company have Interest Sensitive Life Insurance in force? _____
If yes, how many Interest Sensitive Life Questionnaires are included in the submission? _____

6. Does the Company have Structured Settlement and Fixed Payment Annuities in force? _____
If yes, how many Structured Settlement and Fixed Payment Annuity Questionnaires are included in the submission? _____

7. Does the Company have Accumulation-Type Annuities in force? _____
If yes, how many Accumulation-Type Annuity Questionnaires are included in the submission? _____

8. Does the Company have Accident & Health business in force? _____
If yes, how many A&H Questionnaires are included in the submission? _____

9. Has the Company sent a filing pursuant to Regulation 126 directly to the Reserve Section of the Life Bureau? _____

- 10. a. Does the Company rely on an aggregate basis per Section 4217(f)(1) for Life Insurance? _____
- b. Does the Company rely on the aggregate basis per Section 4217(f)(2) for Annuities? _____
- c. Does the Company rely on the aggregate basis per Section 4217(f)(3) for A&H Insurance? _____

11. Has a signed copy of the 2021 Annual Statement been submitted directly to the Life Bureau, Reserve Section? _____

12. If the Company has Separate Account business, has the Company included a distinct filing for such? _____

13. Has the Company verified the accuracy and completeness of items 1 - 12 above? _____

Appointed Actuary

Date

CHKLST