



An Equal Opportunity/Affirmative Action Employer

## Announcement of Intention to Fill a Job Vacancy

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### Deputy Superintendent for Bank Supervision, NS

**Location:** Albany or New York City

**Business Unit:** Banking Division

**Negotiating Unit:** Management Confidential (MC)

Please note that a change in negotiating unit may affect your salary, insurance and other benefits.

**Salary:** \$167,754 - \$207,762 (salary commensurate with experience)

Positions located within the New York City metropolitan area, as well as Suffolk, Nassau, Rockland, and Westchester Counties, are also eligible to receive an additional \$3,400 annual downstate adjustment.

**Appointment Status:** This is an appointment to a position in the exempt jurisdictional class.

**Appointment to this position is pending Governor Appointment's Office and Division of Budget approval.**

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The New York State Department of Financial Services seeks to build an equitable, transparent, and resilient financial system that benefits individuals and supports business. Through engagement, data-driven regulation and policy, and operational excellence, the Department and its employees are responsible for empowering consumers and protecting them from financial harm; ensuring the health of the entities we regulate; driving economic growth in New York through responsible innovation; and preserving the stability of the global financial system.

The Department of Financial Services is seeking candidates for the position of Deputy Superintendent for Bank Supervision in the Banking Division. Reporting to the Senior Deputy Superintendent for Bank Supervision, the Deputy Superintendent for Bank Supervision will assist in overseeing the Community and Regional Banks (CRB) and Foreign and Wholesale Banks (FWB) units, responsible for managing the supervision and examination of companies within the units.

Together, CRB and FWB charter and license all depository institutions overseen by the Department. As of 2023, CRB's portfolio included 60 banking organization (including commercial banks, trust companies, savings banks, and credit unions), with aggregate assets of supervised institutions of over \$425 billion. As of 2023, FWB's portfolio included more than 120 institutions (including U.S. wholesale banks and branches, agencies, and representative offices of foreign banking organizations overseen by the Department), with aggregate assets of more than \$2.7 trillion subject to FWB oversight.

Duties include, but are not limited to, the following:

- Assists with establishing policies, procedures and appropriate supervisory actions relating to the operations of both FWB and CRB;
- Assists with developing a hiring and staffing strategy for FWB and CRB personnel;
- Reviews and advises on New York State laws, rules, regulations and policies and procedures of DFS that may impact entities regulated by FWB and CRB, while staying informed on regulatory changes that may impact such institutions;
- Assists with DFS's efforts in coordinating with other regulatory agencies on the supervision of FWB and CRB entities;
- Advises the Senior Deputy Superintendent of Bank Supervision on all matters falling within the scope of assigned responsibility and make necessary recommendations;
- Assists with special agency-wide initiatives, including as proposed by senior leadership and the Superintendent; and

- Other duties as assigned.

### **Preferred Qualifications**

- A bachelor's degree, law degree, and at least 10 years of relevant work experience, which includes 5 years in the banking or financial services industry (regulatory examination experience a plus).
- Managerial experience, including a demonstrated ability to supervise large teams.
- Familiarity with financial services regulation and New York Banking and Financial Services Laws.
- Strong analytical and writing skills.

### **Appointment method:**

This is an appointment to a position in the exempt jurisdictional class. As such, the incumbent of this position would serve at the pleasure of the appointing authority.

**To Apply:** Interested qualified candidates must submit a resume and letter of interest **no later than July 29, 2024** to the email address listed below. Please include Box# (**Box DSBS-DFSP3-10148**) in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Kara Waters  
Box DSBS-DFSP3-10148  
New York State Department of Financial Services  
Office of Human Resources Management  
One Commerce Plaza, Suite 301  
Albany, NY 12257  
Email: NCE.Notifications@dfs.ny.gov  
Fax: (518) 402-5071

**All candidates that apply may not be scheduled for an interview.**

### **Public Service Loan Forgiveness**

Employment with New York State qualifies an individual for Public Service Loan Forgiveness (PSLF). This program forgives the remaining balance on any Direct Student Loans after you have made 120 qualifying monthly payments while working full-time for a U.S. federal, state, local, or tribal government or not-for-profit organization. To learn more about the program and whether you would qualify, please visit: <https://studentaid.gov/manage-loans/forgiveness-cancellation/public-service>

### **AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS**

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job. If the accommodation would constitute an undue hardship on the operations of the agency, the agency is not required to provide it.

All people with disabilities are encouraged to apply to all jobs for which they meet the minimum qualifications.

***Pursuant to Executive Order 161, no State entity, as defined by the Executive Order, is permitted to ask, or mandate, in any form, that an applicant for employment provide his or her current compensation, or any prior compensation history, until such time as the applicant is extended a conditional offer of employment with compensation. If such information has been requested from you before such time, please contact the Office of Employee Relations at (518) 473-3130 or via email at [response@oer.ny.gov](mailto:response@oer.ny.gov).***