



An Equal Opportunity/Affirmative Action Employer

Announcement of Intention to Fill a Job Vacancy

Executive Deputy Superintendent for Banking, NS

Location: Albany or New York City

Business Unit: Banking

Negotiating Unit: Management Confidential (MC)

Please note that a change in negotiating unit may affect your salary, insurance and other benefits.

Salary: \$167,754 - \$207,762 (salary commensurate with experience)

Positions located within the New York City metropolitan area, as well as Suffolk, Nassau, Rockland, and Westchester Counties, are also eligible to receive an additional \$3,400 annual downstate adjustment.

Appointment Status: This is an appointment to a position in the exempt jurisdictional class.

Appointment to this position is pending Governor Appointment's Office and Division of Budget approval.

The New York State Department of Financial Services seeks to build an equitable, transparent, and resilient financial system that benefits individuals and supports business. Through engagement, data-driven regulation and policy, and operational excellence, the Department and its employees are responsible for empowering consumers and protecting them from financial harm; ensuring the health of the entities we regulate; driving economic growth in New York through responsible innovation; and preserving the stability of the global financial system.

The Banking Division supervises the activities of 1,332 banking and non-depository financial institutions with assets of \$3.3 trillion, including commercial banks, credit unions, money services businesses, foreign bank branches and agencies, mortgage bankers, and licensed lenders.

The Executive Deputy reports directly to the Superintendent of Financial Services, and is responsible for all legislative, regulatory and supervisory issues, as well as licensing and enforcement-related matters, pertaining to the operating units of the Banking Division. The Executive Deputy determines policy and appropriate supervisory actions undertaken by the Department and represents the Superintendent and the Department in meetings with governmental agencies, and industry trade groups. This position requires direct contact with the Superintendent of Financial Services, Deputy and Senior Deputy Superintendents, staff and field examiners on a daily basis, as well as significant contact with other state and federal regulatory agencies, foreign regulatory agencies and central banks, and the regulated institutions. Specific duties include:

- Responsible for overseeing the Banking Division's day-to-day operations;
- Acts as chief advisor to the Superintendent on a wide range of supervisory, transactional, regulatory, legislative, policy, enforcement and other matters related to the Banking Division;
- Ensures that the banking-related programs of the Governor and Superintendent are effectively and expeditiously implemented;
- Works closely with Executive management in other Divisions, including the General Counsel's Office, Climate Division, Cyber Division, External Affairs, and others, on banking-related decisions, projects, and initiatives;
- Serves as the Banking Division's Executive contact and liaison with the Governor's Office, the Legislature, and other agencies on matters requiring senior level input and/or action;
- Leads or participates in meetings and conferences, and generally interacts, with regulated entities or their representatives, industry organizations, the Conference of State Bank Supervisors, other domestic and foreign

governmental agencies, such as the Federal Reserve, the FDIC, and the NCUA, and the public on banking-related matters.

- Responsible for creating a staffing strategy for the Division and taking an active role in the recruitment process.
- Works closely with the Chief Technology and Operations Officer to implement the Department-wide technology transformation and process redesign within the Division, leading change management initiatives to operationalize the transformation.
- Other duties as assigned.

Preferred Qualifications

- At least 10 years of relevant work experience in the banking and financial services industry;
- At least 5 years of managerial supervision;
- Knowledge of banking regulation and New York Banking Law;
- Demonstrated ability to manage human capital and other resources to support short and long-term priorities;
- Superior written and oral communication skills, and strong analytical skills;
- JD preferred but not required.

Appointment method:

This is an appointment to a position in the exempt jurisdictional class. As such, the incumbent of this position would serve at the pleasure of the appointing authority.

To Apply: Interested qualified candidates must submit a resume and letter of interest **no later than August 12, 2024** to the email address listed below. Please include Box# (**Box EDSB-DS-00103**) in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Nate Keiper
Box EDSB-DS-00103
New York State Department of Financial Services
Office of Human Resources Management
One Commerce Plaza, Suite 301
Albany, NY 12257
Email: NCE.Notifications@dfs.ny.gov
Fax: (518) 402-5071

All candidates that apply may not be scheduled for an interview.

Public Service Loan Forgiveness

Employment with New York State qualifies an individual for Public Service Loan Forgiveness (PSLF). This program forgives the remaining balance on any Direct Student Loans after you have made 120 qualifying monthly payments while working full-time for a U.S. federal, state, local, or tribal government or not-for-profit organization. To learn more about the program and whether you would qualify, please visit: <https://studentaid.gov/manage-loans/forgiveness-cancellation/public-service>

AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job. If the accommodation would constitute an undue hardship on the operations of the agency, the agency is not required to provide it.

All people with disabilities are encouraged to apply to all jobs for which they meet the minimum qualifications.

Pursuant to Executive Order 161, no State entity, as defined by the Executive Order, is permitted to ask, or mandate, in any form, that an applicant for employment provide his or her current compensation, or any prior compensation history, until such time as the applicant is extended a conditional offer of employment with compensation. If such information has been requested from you before such time, please contact the Office of Employee Relations at (518) 473-3130 or via email at response@oer.ny.gov.