



An Equal Opportunity/Affirmative Action Employer

## **Announcement of Intention to Fill a Job Vacancy**

---

### **Assistant Deputy Superintendent for Internal Controls (Assistant Deputy Superintendent, NS)**

**Location:** Albany or NYC

**Business Unit:** Research and Innovation

**Negotiating Unit:** Management Confidential (MC)

Please note that a change in negotiating unit may affect your salary, insurance and other benefits.

**Salary:** \$123,793 - \$156,224 (salary commensurate with experience)

**Positions located within the New York City metropolitan area, as well as Suffolk, Nassau, Rockland, and Westchester Counties, are also eligible to receive an additional \$3,400 annual downstate adjustment.**

**Appointment Status:** This is an appointment to a position in the exempt jurisdictional class.

**Appointment to this position is pending Governor Appointment's Office and Division of Budget approval.**

---

The New York State Department of Financial Services seeks to build an equitable, transparent, and resilient financial system that benefits individuals and supports business. Through engagement, data-driven regulation and policy, and operational excellence, the Department and its employees are responsible for empowering consumers and protecting them from financial harm; ensuring the health of the entities we regulate; driving economic growth in New York through responsible innovation; and preserving the stability of the global financial system.

The Department of Financial Services is seeking candidates to fill the position of Assistant Deputy Superintendent for Internal Controls in the Research & Innovation Division. This role will work across all Deputies and units across the R&I Division to ensure appropriate policies, procedures, and quality assurance programs are in place.

The Assistant Deputy Superintendent will lead a team across the NYC and Albany offices. The position functions with great independence in advancing the Division's control and risk-mitigation objectives. Duties include, but are not limited to:

- Plans, develops, and operationalizes key internal controls capabilities and processes;
- Contributes to internal controls forums and Deputy-level coordinating meetings;
- Leads issue remediation, and other risk-mitigation activities within Division;
- Builds internal controls review capabilities such as libraries and dashboards to report up to management on current progress and concerns;
- Collaborates with all other Units within the R&I division on their internal controls progress and areas for improvement;
- Acts as the central coordinator for R&I risk reporting to the Department-wide internal controls and enterprise risk teams;
- Acts as R&I's liaison for any internal and external audits;
- Manages staff in the internal controls team;
- As needed leads or contributes to the development of policies and procedures across the units
- As needed assists in special projects; and
- Other duties as assigned.

#### **Preferred Qualifications**

- Bachelors' degree and at least 5 years' experience in an internal controls role;
- Demonstrated ability to supervise teams;
- Familiarity with virtual currency regulation and the New York Banking Law;
- Strong project management skills, including experience managing many time-sensitive, complex projects simultaneously;
- Strong analytical skills;
- Demonstrated ability to manage human capital and other resources to support short- and long-term priorities; and
- Superior written and oral communication skills.

**Appointment method:**

This is an appointment to a position in the exempt jurisdictional class. As such, the incumbent of this position would serve at the pleasure of the appointing authority.

**To Apply:** Interested qualified candidates must submit a resume and letter of interest **no later than February 17, 2025** to the email address listed below. Please include Box# (**Box ADSIC-ASD-10337**) in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Please be advised that the New York State Department of Financial Services does not offer sponsorship for employment visas.

Kara Waters  
Box ADSIC-ASD-10337  
New York State Department of Financial Services  
Office of Human Resources Management  
One Commerce Plaza, Suite 301  
Albany, NY 12257  
Email: NCE.Notifications@dfs.ny.gov  
Fax: (518) 402-5071

**All candidates that apply may not be scheduled for an interview.**

**Public Service Loan Forgiveness**

Employment with New York State qualifies an individual for Public Service Loan Forgiveness (PSLF). This program forgives the remaining balance on any Direct Student Loans after you have made 120 qualifying monthly payments while working full-time for a U.S. federal, state, local, or tribal government or not-for-profit organization. To learn more about the program and whether you would qualify, please visit: <https://studentaid.gov/manage-loans/forgiveness-cancellation/public-service>

**AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS**

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job. If the accommodation would constitute an undue hardship on the operations of the agency, the agency is not required to provide it.

All people with disabilities are encouraged to apply to all jobs for which they meet the minimum qualifications.

***Pursuant to Executive Order 161, no State entity, as defined by the Executive Order, is permitted to ask, or mandate, in any form, that an applicant for employment provide his or her current compensation, or any prior compensation history, until such time as the applicant is extended a conditional offer of employment with compensation. If such information has been requested from you before such time, please contact the Office of Employee Relations at (518) 473-3130 or via email at [response@oer.ny.gov](mailto:response@oer.ny.gov).***