



An Equal Opportunity/Affirmative Action Employer

Announcement of Intention to Fill Two Vacancies

Assistant Deputy Superintendent for Foreign and Wholesale Banks (Assistant Deputy Superintendent, NS)

Location: One State Street, New York City

Business Unit: Foreign and Wholesale Banks

Negotiating Unit: Management Confidential (MC)

Please note that a change in negotiating unit may affect your salary, insurance and other benefits.

Salary: \$123,792 - \$156,224 (salary commensurate with experience)

Positions located within the New York City metropolitan area, as well as Suffolk, Nassau, Rockland, and Westchester Counties, are also eligible to receive an additional \$3,400 annual downstate adjustment.

Appointment Status: This is an appointment to a position in the exempt jurisdictional class.

Appointment to this position is pending Governor Appointment's Office and Division of Budget approval.

The New York State Department of Financial Services seeks to build an equitable, transparent, and resilient financial system that benefits individuals and supports business. Through engagement, data-driven regulation and policy, and operational excellence, the Department and its employees are responsible for empowering consumers and protecting them from financial harm; ensuring the health of the entities we regulate; driving economic growth in New York through responsible innovation; and preserving the stability of the global financial system.

The Department of Financial Services is seeking candidates to fill positions of Assistant Deputy Superintendent of Foreign and Wholesale Banks. The Assistant Deputy Superintendent will supervise the in-house review and field examination functions of banks, including overseeing the day-to-day duties and responsibilities of subordinate staff. The Assistant Deputy Superintendent will oversee the regulation of the institutions for which the Foreign and Wholesale Business Unit has responsibility. Other duties will include, but not be limited to, the following:

- Plans and directs the examinations of financial institutions;
- Assigns commissions to examiners at all levels;
- Develops standards and methods for examining institutions and reviewing examination reports on the financial condition of institutions, the adequacy of their operations, and the capability of management;
- Directs letters to institutions to convey examination findings, calling attention to management on corrective action desired;
- Meets with institution officers to discuss policies and resolving problems;
- Advises Executive Deputy Superintendent for Banking and other Banking and Departmental leadership on all matters falling within the scope of assigned responsibility and making necessary recommendations; and
- Other duties as assigned.
- Travel may be required up to 5%.

Preferred Qualifications

- At least 7 years of banking experience with strong knowledge of banking policies and procedures and bank examination standards and processes;
- At least five years of experience supervising a large team of examiners;
- At least five years regulatory experience supervising large complex institutions, including planning and conducting mandated examinations on an annual basis;
- Ability to supervise and participate or lead on various projects; and
- Strong written and oral communication skills.

Appointment method:

This is an appointment to a position in the exempt jurisdictional class. As such, the incumbent of this position would serve at the pleasure of the appointing authority.

To Apply: Interested qualified candidates must submit a resume and letter of interest **no later than February 21, 2025** to the email address listed below. Please include Box# (**Box ADSFWB-ADS-10222**) in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Please be advised that the New York State Department of Financial Services does not offer sponsorship for employment visas.

Kara Waters
Box ADSFWB-ADS-10222
New York State Department of Financial Services
Office of Human Resources Management
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Albany, NY 12257
Email: NCE.Notifications@dfs.ny.gov
Fax: (518) 402-5071

All candidates that apply may not be scheduled for an interview.

Public Service Loan Forgiveness

Employment with New York State qualifies an individual for Public Service Loan Forgiveness (PSLF). This program forgives the remaining balance on any Direct Student Loans after you have made 120 qualifying monthly payments while working full-time for a U.S. federal, state, local, or tribal government or not-for-profit organization. To learn more about the program and whether you would qualify, please visit: <https://studentaid.gov/manage-loans/forgiveness-cancellation/public-service>

AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job. If the accommodation would constitute an undue hardship on the operations of the agency, the agency is not required to provide it.

All people with disabilities are encouraged to apply to all jobs for which they meet the minimum qualifications.

Pursuant to Executive Order 161, no State entity, as defined by the Executive Order, is permitted to ask, or mandate, in any form, that an applicant for employment provide his or her current compensation, or any prior compensation history, until such time as the applicant is extended a conditional offer of employment with compensation. If such information has been requested from you before such time, please contact the Office of Employee Relations at (518) 473-3130 or via email at response@oer.ny.gov.