



An Equal Opportunity/Affirmative Action Employer

## **Announcement of Intention to Fill a Job Vacancy**

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### **Assistant Deputy Superintendent for Specialty Teams (Assistant Deputy Superintendent, NS)**

**Location:** Albany or NYC

**Business Unit:** Research and Innovation

**Negotiating Unit:** Management Confidential (MC)

Please note that a change in negotiating unit may affect your salary, insurance and other benefits.

**Salary:** \$123,793 - \$156,224 (salary commensurate with experience)

**Positions located within the New York City metropolitan area, as well as Suffolk, Nassau, Rockland, and Westchester Counties, are also eligible to receive an additional \$3,400 annual downstate adjustment.**

**Appointment Status:** This is an appointment to a position in the exempt jurisdictional class.

**Appointment to this position is pending Governor Appointment's Office and Division of Budget approval.**

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The New York State Department of Financial Services seeks to build an equitable, transparent, and resilient financial system that benefits individuals and supports business. Through engagement, data-driven regulation and policy, and operational excellence, the Department and its employees are responsible for empowering consumers and protecting them from financial harm; ensuring the health of the entities we regulate; driving economic growth in New York through responsible innovation; and preserving the stability of the global financial system.

The Department of Financial Services is seeking candidates to fill the position of Assistant Deputy Superintendent for Specialty Teams, within the Research & Innovation Division. Working with the Deputy Superintendent for the Virtual Currency Unit and Deputy Superintendent for Limited Purpose Trust Companies, the Assistant Deputy Superintendent for Special Teams will oversee and manage the Special Teams functions across the VCU and LPTC Units. Special Teams functions for this role include the areas of Financial Crimes, Finance and Accounting, and coordination with Cybersecurity and CIB reviews.

The Assistant Deputy Superintendent for Specialty Teams will provide input to support the development of DFS policy and lead multiple teams across the NYC and Albany offices. Working under the direction of the Deputy Superintendent for Virtual Currency and the Deputy Superintendent of Limited Purpose Trusts, as well as coordinating with other DFS Divisions, the position functions with great independence in advancing the Division's objectives. Duties include, but are not limited to:

- Briefs and advises the Deputy Superintendents across VCU and LPTC and the Executive Deputy Superintendent for Research & Innovation on a wide range of supervisory, transactional, regulatory, legislative, policy, enforcement and other matters related to the Special Teams;
- Provides strategic direction in the risk-based allocation of Special Teams resources to applications and supervisory work;
- Makes risk-based decisions to prioritize and coordinate work across the Special Teams unit to serve both supervisory units efficiently and effectively;
- Coordinates with the Cybersecurity Division on the staffing of those external specialists to applications and supervisory work across the VC and LPTC teams;
- Coordinates with the CIB Unit on reviews across applications and supervisory work across the VC and LPTC teams;
- Analyzes and makes recommendations based on a thorough understanding of the short- and long-term impact of any Specialty Teams-related decisions, projects or initiatives;
- Provides oversight and management of the activities and operations of the Specialty Teams unit, including establishing goals and priorities, monitoring performance, ensuring quality and consistency, ensuring objectives and priorities are met, and ensuring standards are adhered to;

- Works closely with R&I staff, including examiners, analysts and lawyers associated with virtual currency related matters;
- Works closely with staff in other Divisions of DFS, including the Office of General Counsel, Cyber Division, Legislative Affairs, Consumer Protection and Financial Enforcement Division;
- Leads or participates in meetings and conferences and generally interacts with regulated entities or their representatives, industry organizations, and other governmental agencies; and
- Other duties as assigned;
- Travel may be required up to 25%.

### **Preferred Qualifications**

- Bachelor's degree and at least 5 years' experience in a people management function;
- Experience working in financial services is preferred;
- Demonstrated ability to supervise large teams;
- Demonstrated knowledge of Virtual Currency and relevant business models and risks, as well as strong familiarity with virtual currency regulation and the New York Banking Law;
- Strong project management skills, including experience managing many time-sensitive, complex projects simultaneously;
- Strong analytical and problem solving skills;
- Demonstrated ability to manage human capital and other resources to support short- and long-term priorities; and
- Superior written and oral communication skills.

### **Appointment method:**

This is an appointment to a position in the exempt jurisdictional class. As such, the incumbent of this position would serve at the pleasure of the appointing authority.

**To Apply:** Interested qualified candidates must submit a resume and letter of interest **no later than February 10, 2025** to the email address listed below. Please include Box# (**Box ADSST-ASD-10338**) in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Please be advised that the New York State Department of Financial Services does not offer sponsorship for employment visas.

Kara Waters  
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Fax: (518) 402-5071

**All candidates that apply may not be scheduled for an interview.**

### **Public Service Loan Forgiveness**

Employment with New York State qualifies an individual for Public Service Loan Forgiveness (PSLF). This program forgives the remaining balance on any Direct Student Loans after you have made 120 qualifying monthly payments while working full-time for a U.S. federal, state, local, or tribal government or not-for-profit organization. To learn more about the program and whether you would qualify, please visit: <https://studentaid.gov/manage-loans/forgiveness-cancellation/public-service>

### **AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS**

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job. If the accommodation would constitute an undue hardship on the operations of the agency, the agency is not required to provide it.

All people with disabilities are encouraged to apply to all jobs for which they meet the minimum qualifications.