



An Equal Opportunity/Affirmative Action Employer

Announcement of Intention to Fill a Job Vacancy

Deputy Superintendent for Innovation Policy (Director Financial Services Programs 3, NS)

Location: One State Street, New York City

Business Unit: Innovation Policy

Negotiating Unit: Management Confidential (MC)

Please note that a change in negotiating unit may affect your salary, insurance and other benefits.

Salary: \$167,754 - \$207,762 (salary commensurate with experience)

Positions located within the New York City metropolitan area, as well as Suffolk, Nassau, Rockland, and Westchester Counties, are also eligible to receive an additional \$3,400 annual downstate adjustment.

Appointment Status: This is an appointment to a position in the exempt jurisdictional class.

Appointment to this position is pending Governor Appointment's Office and Division of Budget approval.

The New York State Department of Financial Services seeks to build an equitable, transparent, and resilient financial system that benefits individuals and supports business. Through engagement, data-driven regulation and policy, and operational excellence, the Department and its employees are responsible for empowering consumers and protecting them from financial harm; ensuring the health of the entities we regulate; driving economic growth in New York through responsible innovation; and preserving the stability of the global financial system.

The Department of Financial Services is seeking candidates for the position of Deputy Superintendent for Innovation Policy in Innovation Policy. Duties include, but are not limited to, the following:

- Serves as the lead Innovation Policy subject matter expert for DFS;
- Manages the staff of the Innovation Policy Unit, including resource management, career growth, performance management, and hiring as needed;
- Oversees multiple policy projects at a given time, ensuring that the projects are well-staffed and correctly prioritized, that they advance in a timely way, and that they result in high-quality work product to achieve concrete results;
- Oversees qualitative and quantitative research to inform agency decision-making and influence internal and external stakeholders;
- Oversees external policy engagement programs, such as DFS Exchange, and manages stand-alone stakeholder events;
- Develops and leads effective relationships with other DFS divisions and builds the Department's innovation policy agenda, based on the needs of those divisions and input from DFS Executive Leadership;
- Leads the development of training on key innovation policy topics for R&I and DFS staff;
- Represents DFS publicly on policy topics and the Department's agenda;
- Develops and maintains relationships with financial regulators in other states, in the federal government, and in governments of other nations, to discuss policy issues of common interest and pursue suitable collaborations;
- Leads the Unit in supporting Department-wide innovation and policy-related functions through educational opportunities and capacity-building;
- Briefs others in DFS leadership, including the Executive Deputy and the Superintendent, on the status of particular policy projects or prospective projects;

- Works on projects and initiatives as requested by the Superintendent, Executive Deputy, and other executive staff; and
- Other duties as assigned.
- Travel may be required up to 25%

Preferred Qualifications

- At least 10 years of relevant work experience, including 5 years in financial services and/or policy development.
- A master's degree in public policy or business; a law degree; or a PhD in finance, economics, or other relevant discipline.
- Substantial experience in policy analysis, ideally concerning one or more areas of financial services.
- An interest in, and some knowledge of, developments in financial technology ("fintech"), insurance technology ("insurtech"), or both.
- A broad understanding of financial services and the regulatory structures affecting these services.
- A record of sustained, rigorous analysis of complex problems in business, finance and/or economics, and of expressing the results of such analysis in clear, persuasive written work product.

Appointment method:

This is an appointment to a position in the exempt jurisdictional class. As such, the incumbent of this position would serve at the pleasure of the appointing authority.

To Apply: Interested qualified candidates must submit a resume and letter of interest **no later than March 31, 2025** to the email address listed below. Please include Box# (**Box DSIP-DFSP3-10113**) in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Please be advised that the New York State Department of Financial Services does not offer sponsorship for employment visas.

Kara Waters
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Fax: (518) 402-5071

All candidates that apply may not be scheduled for an interview.

Public Service Loan Forgiveness

Employment with New York State qualifies an individual for Public Service Loan Forgiveness (PSLF). This program forgives the remaining balance on any Direct Student Loans after you have made 120 qualifying monthly payments while working full-time for a U.S. federal, state, local, or tribal government or not-for-profit organization. To learn more about the program and whether you would qualify, please visit: <https://studentaid.gov/manage-loans/forgiveness-cancellation/public-service>

AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job. If the accommodation would constitute an undue hardship on the operations of the agency, the agency is not required to provide it.

All people with disabilities are encouraged to apply to all jobs for which they meet the minimum qualifications.