



DFS Exchange

IGNITING FINANCIAL INNOVATION



The Department of Financial Services supervises and regulates the activities of over 3,000 financial institutions, including insurance companies, banks, virtual currency entities, and other financial institutions.

DFS Exchange fosters open dialogue between DFS and entrepreneurs, financial innovators, stakeholders, and other regulators on innovative consumer-facing and market-facing activities.

The program provides a platform to discuss supervisory or compliance-related tools, technologies, and research on emerging trends, and other relevant topics with the strategic objective of promoting responsible financial innovation in New York. DFS accomplishes this strategic objective through continuous engagement, learning, and regulatory refinement:

- **Building a Convening Forum:** DFS Exchange connects agency experts, entrepreneurs, and regulators to tackle challenges, discover opportunities, and align policies with industry growth, fostering collaboration and driving innovation in the financial services sector.
- **Expanding Agency Expertise:** DFS Exchange utilizes the insights from forums to refine policies, adapt to industry growth, and stay ahead of regulatory trends.
- **Clarifying Regulation:** DFS Exchange provides guidance and technical assistance to regulatory counterparts, promoting global consistency in financial innovation while supporting collaboration, idea-sharing, and smooth navigation of the regulatory landscape.

STRATEGIC OBJECTIVE



BENEFITS OF ENGAGEMENT

Through DFS Exchange, the Department aims to maintain sustained engagement with the innovation and technology community in New York State and beyond. Benefits include:

- **Access to Expertise:** Connect with DFS subject matter experts to stay abreast of the latest regulatory developments, innovations, and industry trends.
- **Proactively Address Challenges:** Collaborate with regulators to identify and address emerging challenges that impact their organization and market.
- **Inform Research and Policy:** Share insights that help inform the Department's research priorities and policy agendas, ensuring regulatory approaches remain relevant and effective.

ENGAGE WITH DFS

Sessions can be held virtually or in-person at our New York City office and are open to all entities including, but not limited to, financial institutions, firms, tech companies, and consumer advocates and nonprofits.

If you are interested in meeting, complete and submit an interest form via our website at dfs.ny.gov/DFSEExchange or email us at innovation@dfs.ny.gov