



An Equal Opportunity/Affirmative Action Employer

Announcement of Intention to Fill a Job Vacancy

Deputy Counsel, NS

Location: One State Street, New York City

Business Unit: Office of General Counsel

Negotiating Unit: Management Confidential (MC)

Please note that a change in negotiating unit may affect your salary, insurance and other benefits.

Salary: \$123,793 - \$156,224 (salary commensurate with experience)

Positions located within the New York City metropolitan area, as well as Suffolk, Nassau, Rockland, and Westchester Counties, are also eligible to receive an additional \$3,400 annual downstate adjustment.

Appointment Status: This is an appointment to a position in the exempt jurisdictional class.

Appointment to this position is pending Governor Appointment's Office and Division of Budget approval.

The New York State Department of Financial Services seeks to build an equitable, transparent, and resilient financial system that benefits individuals and supports business. Through engagement, data-driven regulation and policy, and operational excellence, the Department and its employees are responsible for empowering consumers and protecting them from financial harm; ensuring the health of the entities we regulate; driving economic growth in New York through responsible innovation; and preserving the stability of the global financial system.

The Department of Financial Services is seeking candidates for the position of Deputy Counsel within the Office of General Counsel (Banking) located in NYC. The Deputy Counsel duties will include, but are not limited to, the following:

- Renders professional regulatory and legal advice of a high degree of difficulty involving application of state and federal laws to New York chartered financial institutions, including questions of first impression and with conflicting precedent that require extensive research, analyses, and ingenuity to resolve;
- Reviews applications for legal sufficiency, including mergers, change of control, de novo charters, and foreign bank licensing, among others;
- Assists with, and effectively collaborates on, policy, enforcement, litigation, and regulatory matters concerning the regulation of financial institutions doing business in New York;
- Reviews and prepares memoranda on legislations; drafts amendments to legislations, regulations, guidances, and other regulatory materials, including proposals, as needed;
- Leverages strong written and verbal skills in interactions with financial institutions, other state and federal governmental and regulatory agencies, and law firms;
- Drafts and negotiates contracts, memoranda of understanding and other agreements with domestic and foreign regulators;
- Conducts legal research and analysis, and prepares opinions and memoranda of law;
- Analyzes requests for confidential supervisory information (CSI) from regulated financial institutions, governmental and regulatory agencies, and other third parties under the Freedom of Information Act and DFS regulations.
- Appears at administrative tribunals of courts;
- Develops initiatives to support the mission and goals of DFS;
- Performs other duties as assigned.

Minimum Qualifications

- Law degree from an accredited law school; admission to, and member in good standing of, the New York State Bar; and six years of relevant post-bar experience.

Preferred Qualifications

- Professional legal experience at a law firm, governmental agency, or in-house at a financial institution, or a combination of those.
- Superior written and legal research skills
- Strong regulatory banking experience and knowledge of the Banking Law and Financial Services Law.
- Litigation or investigatory experience representing financial institutions is a plus.

Appointment method:

This is an appointment to a position in the exempt jurisdictional class. As such, the incumbent of this position would serve at the pleasure of the appointing authority.

To Apply: Interested qualified candidates must submit a resume and letter of interest **no later than April 23, 2025** to the email address listed below. Please include Box# **(DC-AC-00211)** in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Please be advised that the New York State Department of Financial Services does not offer sponsorship for employment visas.

Nathan Keiper
Box DC-AC-00211
New York State Department of Financial Services
Office of Human Resources Management
One Commerce Plaza, Suite 301
Albany, NY 12257
Email: NCE.Notifications@dfs.ny.gov
Fax: (518) 402-5071

All candidates that apply may not be scheduled for an interview.

Public Service Loan Forgiveness

Employment with New York State qualifies an individual for Public Service Loan Forgiveness (PSLF). This program forgives the remaining balance on any Direct Student Loans after you have made 120 qualifying monthly payments while working full-time for a U.S. federal, state, local, or tribal government or not-for-profit organization. To learn more about the program and whether you would qualify, please visit: <https://studentaid.gov/manage-loans/forgiveness-cancellation/public-service>

AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job. If the accommodation would constitute an undue hardship on the operations of the agency, the agency is not required to provide it.

All people with disabilities are encouraged to apply to all jobs for which they meet the minimum qualifications.