



An Equal Opportunity/Affirmative Action Employer

Announcement of Intention to Fill a Job Vacancy

Assistant Deputy Superintendent for Entity Risk Management, NS

Location: Albany or NYC

Business Unit: Entity Risk Management

Negotiating Unit: Management Confidential (MC)

Please note that a change in negotiating unit may affect your salary, insurance and other benefits.

Salary: \$123,792 - \$156,224 (salary commensurate with experience)

Positions located within the New York City metropolitan area, as well as Suffolk, Nassau, Rockland, and Westchester Counties, are also eligible to receive an additional \$4,000 annual downstate adjustment.

Appointment Status: This is an appointment to a position in the exempt jurisdictional class.

Appointment to this position is pending Governor Appointment's Office and Division of Budget approval.

The New York State Department of Financial Services seeks to build an equitable, transparent, and resilient financial system that benefits individuals and supports business. Through engagement, data-driven regulation and policy, and operational excellence, the Department and its employees are responsible for empowering consumers and protecting them from financial harm; ensuring the health of the entities we regulate; driving economic growth in New York through responsible innovation; and preserving the stability of the global financial system.

The Department of Financial Services is seeking candidates for the position of Assistant Deputy Superintendent for Entity Risk Management within the Risk Data & Analytics team. The position reports to the Deputy Superintendent of Entity Risk Management will be responsible for leading the data-driven efforts that inform decision-making related to risk management at the New York Department of Financial Services (NY DFS). This role is pivotal in sourcing, integrating, and analyzing both internal and external risk-related data to help drive strategic initiatives. The individual will develop and maintain key risk metrics, KPIs, and dashboards to monitor and report on risk exposures and performance. The individual will work closely with division heads, examiners, and other stakeholders to align analysis with organizational goals and provide actionable insights that support effective risk mitigation and performance management across DFS' portfolio. The ideal candidate will have a strong background in risk data analytics, advanced statistical modeling, and the ability to collaborate across teams to ensure continuous improvement of risk data processes, tools, and technologies.

Duties include, but are not limited to, the following:

- **Risk Data Integration & Sourcing:**
 - Leads efforts to source, integrate, and manage internal and external risk-related data from a variety of sources, ensuring data completeness and accuracy.
 - Works closely with business units, IT teams, and data engineers to ensure seamless integration of risk data into existing systems and databases.
 - Captures relevant data from various workflows, especially those involving Salesforce, to enhance analysis capabilities across multiple risk verticals, products, and services.
- **Development of Risk Metrics & KPIs:**
 - Designs, develops, and maintains key risk metrics, Key Performance Indicators (KPIs), and risk dashboards to effectively track and report on risk exposures, performance, and trends.

- Ensures that risk metrics align with organizational goals, regulatory requirements, and the evolving needs of the DFS portfolio.
- Develops standardized reporting templates to support consistent and efficient tracking of risk metrics across departments.
- **Risk Reporting & Insights:**
 - Leads the creation and presentation of regular risk reports for senior leadership and key stakeholders, offering actionable insights on portfolio performance, risk exposures, and emerging risks.
 - Provides detailed, data-driven analysis on industry trends, economic conditions, and the DFS portfolio to identify potential risks and opportunities.
 - Offers recommendations to senior management and stakeholders on how to mitigate risks and improve portfolio performance.
- **Advanced Analytics & Risk Modeling:**
 - Leads advanced analytics efforts, including predictive risk modeling, to forecast potential risks and vulnerabilities in the DFS portfolio.
 - Leverages statistical techniques and machine learning models to enhance the accuracy of risk assessments and drive proactive risk management strategies.
 - Works with internal teams to develop risk scenarios and stress testing models to assess the potential impact of various economic or industry shifts.
- **Process Improvement & Tools Development:**
 - Identifies opportunities for enhancing risk data processes, tools, and technologies to improve the efficiency and effectiveness of risk management functions.
 - Advocates for the adoption of new tools, technologies, and methodologies that can improve data quality, reporting capabilities, and risk analysis accuracy.
 - Champions continuous improvement initiatives to evolve the risk data and analytics capabilities in line with industry best practices and DFS' strategic objectives.
- Other duties as assigned.

Preferred Qualifications

- Bachelors degree and at least 7 years' experience in Risk Management, Finance, Economics, Data Science, Mathematics, Computer Science, or a related field (required).
- 7-10 years of experience in risk data analytics, risk management, or a similar role, with at least 5 years of experience in financial services or regulatory environments.
- Proven experience developing and maintaining risk metrics, KPIs, and dashboards, especially within financial services, banking, or regulatory institutions.
- Strong background in risk modeling and analytics, with experience in predictive analytics, statistical analysis, and the use of machine learning techniques.
- Familiarity with financial regulations, industry standards, and the risk management processes in regulatory agencies like the NY DFS is highly desirable.

Appointment method:

This is an appointment to a position in the exempt jurisdictional class. As such, the incumbent of this position would serve at the pleasure of the appointing authority.

To Apply: Interested qualified candidates must submit a resume and letter of interest **no later than June 10, 2025** to the email address listed below. Please include Box# (**Box ADSERM-ADS-10335**) in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Please be advised that the New York State Department of Financial Services does not offer sponsorship for employment visas.

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All candidates that apply may not be scheduled for an interview.

Employment with New York State qualifies an individual for Public Service Loan Forgiveness (PSLF). This program forgives the remaining balance on any Direct Student Loans after you have made 120 qualifying monthly payments while working full-time for a U.S. federal, state, local, or tribal government or not-for-profit organization. To learn more about the program and whether you would qualify, please visit: <https://studentaid.gov/manage-loans/forgiveness-cancellation/public-service>

AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job. If the accommodation would constitute an undue hardship on the operations of the agency, the agency is not required to provide it.

All people with disabilities are encouraged to apply to all jobs for which they meet the minimum qualifications.