NEW YORK STATE
DEPARTMENT OF FINANCIAL SERVICES
SECOND AMENDMENT TO 11 NYCRR 6
(INSURANCE REGULATION 195)

ELECTRONIC FILINGS AND SUBMISSIONS

I, Adrienne A. Harris, Superintendent of Financial Services, pursuant to the authority granted by Sections 202 and 302 of the Financial Services Law and Sections 301, 307, 308, and 316 of the Insurance Law, do hereby promulgate the following Second Amendment to Part 6 of Title 11 of the Official Compilation of Codes, Rules and Regulations of the State of New York, to take effect upon publication of the Notice of Adoption in the State Register, to read as follows:

(NEW MATTER UNDERSCORED; DELETED MATTER IN BRACKETS)

Subdivisions (b) and (c) of section 6.2 are amended as follows:

(b)(1) An insurer and a fraternal benefit society shall each file electronically with the NAIC its:

(i) [its] annual and quarterly statements pursuant to Insurance Law section 307 or 308 or the Public Health Law and regulations promulgated thereunder;

(ii) [its] New York supplement [or New York data requirement, with regard to health maintenance organizations]; and

(iii) [its] audited financial statement.

(2) The electronic submission to the NAIC shall include all of the schedules, supplements, [and] exhibits, notarized documents, and any other documents required to be filed with the statements set forth in paragraph (1) of this subdivision, except for the NAIC supplemental compensation exhibit or any other document that an insurer or fraternal benefit society cannot submit electronically to the NAIC, which an insurer or fraternal benefit society shall submit through the department's website.

(3) An insurer or fraternal benefit society that files a statement, schedule, supplement, [or] exhibit, notarized document, or other document electronically pursuant to this subdivision also shall file a hard copy with the superintendent upon the superintendent's request[, provided, however, that a foreign insurer, other than a life insurance company or accident and health insurance company, need not file a hard copy of a statement, schedule, supplement, or exhibit other than the New York supplement jurat page containing the original signature of the insurer's principal officers and the notary administering the oath.

(c) Notwithstanding any provision of this section, a filer shall submit to the superintendent a hard copy of all notarized documents and witness statements].

KATHY HOCHUL
Governor

ADRIENNE A. HARRIS
Superintendent

CERTIFICATION

I, Adrienne A. Harris, Superintendent of Financial Services, do hereby certify that the foregoing is the Second Amendment to Part 6 of Title 11 of the Official Compilation of Codes, Rules, and Regulations of the State of New York (Insurance Regulation 195), signed by me on August 5, 2025 pursuant to the authority granted by Financial Services Law Sections 202 and 302 and Insurance Law Sections 301, 307, 308, and 316, to take effect upon publication of the Notice of Adoption in the State Register.

Pursuant to the provisions of the State Administrative Procedure Act, prior notice of the proposed rule was published in the New York State Register on June 4, 2025. No other publication or prior notice is required by statute.

Signed copy filed with Department of State
Adrienne A. Harris
Superintendent of Financial Services

Date: August 5, 2025