



Life Settlement Provider (LSP) Annual SIU Report

Public Awareness Program Questionnaire Addendum for LSPs that maintained an in-house public awareness program during the year 2025

(If the LSP participated in the LISA New York public awareness program in 2025, a response to this form is not required)

Respond to each of the 7 items below:

1. Does the life settlement provider (LSP) meet the requirements of Section 411(b)(5) by maintaining an in-house public awareness program?

Yes _____ No _____

2. What were the total expenses for the New York public awareness program incurred in 2025? \$

3. What is the public awareness program budget for the year 2026? \$

4. Please indicate media used by LSP in its 2024 public awareness program:

Digital advertising Yes _____ No _____

Speaking engagements Yes _____ No _____

Hard Copy brochures/other hard copy Yes _____ No _____

Radio/television advertisement Yes _____ No _____

Other Yes _____ No _____ If yes,

describe: _____

5. For each item selected in item 3. above, provide the following on a separate attachment:

- Name of vendor, if any. If no vendor is used, indicate such.
- Total expenses spent on the anti-fraud advertising campaign in 2025
- A breakdown of the components of the 2025 program with corresponding expenses

6. Provide the budget for the 2026 program: \$

7. Describe any planned changes to public awareness program for 2026.