



**Invitation for Bids #C000668 (“IFB”)
for
Gearset Licenses**

Issue Date: March 17, 2026

Proposals Due Date: April 8, 2026, by 3:00pm Eastern Time

<https://www.dfs.ny.gov>

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Section 1: Timetable of Key Events

| Event: | Date and Time: |
|------------------------------------|-------------------------------------|
| IFB Issue Date: | Tuesday March 17, 2026 |
| Deadline for Questions: | Tuesday, March 24, 2026, by 3pm ET |
| Answers to Questions Released: | On or about Friday, March 27, 2026 |
| Deadline for Receipt of Proposals: | Wednesday, April 8, 2026, by 3pm ET |

Please note that the New York State Department of Financial Services (“Department”) reserves the right to change any of the dates stated in this IFB. If such change occurs, the Department will post a notice on its website and in the New York State Contract Reporter to notify potential Bidders.

Section 2: Overview

The Department needs a Contractor to provide Gearset Licenses (“Services”) at Department office locations and other locations as directed by the Department. Such Services must be performed in accordance with the specifications set forth in this IFB.

This procurement is being undertaken in accordance with New York State Finance Law Article XI. The Contractor selected will provide all necessary services as outlined in this IFB.

2.1 Restricted Period and Designated Contacts

Restricted Period:

The procurement is in a restricted period from the date this IFB is issued until the Office of the State Comptroller (“OSC”) has approved a Contract. In compliance with State Finance Law §§ 139-j and 139-k, the following people are the Designated Contacts for this solicitation. All contacts/inquiries shall be made by email to the following address: RFP@dfs.ny.gov. Contacting any Department employees other than Designated Contacts may result in rejection of bid.

Designated Contacts:

Ron Wachenheim, Contract Management Specialist 2
Alisa Fortune, Assistant Director Financial Administration 2
Tyler Lockrow, Contract Management Specialist Trainee 1

2.2 Definitions

Unless further defined within the IFB, the following definitions will be used:

Bid/Proposal shall mean a response to the IFB submitted by a Bidder to provide commodities/services.

Bidder shall mean any individual, business, vendor, or other legal entity, or any employee, agent, consultant, or person acting on behalf thereof, who or that submits a bid in response to a bid solicitation.

Contract shall mean the agreement that may result from this IFB.

Contractor shall mean the Bidder awarded the Contract from this IFB.

Department shall mean the New York State Department of Financial Services.

Services shall mean all services described in Section 4.

Solicitation shall mean this IFB.

Subcontractor shall mean any individual or legal entity (including a sole proprietor, partnership, limited liability company, firm, or corporation) who or that has contracted with the Contractor for the performance of a portion of the Contract.

Superintendent shall mean the Superintendent of Financial Services of the State of New York.

2.3 The Department of Financial Services

The Department regulates insurance, banking, and other financial services with the goal of promoting a robust economy in New York, while safeguarding against financial crises and protecting both consumers and the industry from fraud. The Department was established on October 3, 2011, with the consolidation of the former Insurance and Banking Departments. The consolidation helped centralize and modernize regulation, enabling New York to keep pace with a rapidly innovating financial industry.

2.4 Purpose and Background

The purpose of this IFB is to obtain bids to renew the Department's Gearset licenses for 14 months. These products are currently in use by Department staff for development of its Salesforce environment.

2.5 Contract Period

The Department anticipates that an award from this IFB will result in a contract covering January 10, 2026 through March 10, 2027.

Section 3: Minimum Qualifications

A Bidder must meet the following qualifications and certify that it meets the qualifications in **Attachment 7 – Minimum Bidder Qualifications Certification**:

- Bidder must be an authorized reseller of Gearset.
- Bidder must be authorized by Gearset to provide maintenance and support services.

Subcontractor experience will not replace the Bidder's experience for purposes of these Minimum Qualifications.

Section 4: Required Services

4.1 The Contractor must provide a quantity of twenty-five (25) Compare and Deploy Teams Licenses. The Deployment Solution licenses provide the core functionality for an unlimited number of Salesforce production orgs, including:

- Metadata comparisons and deployments between any environments;
- Source control support for all Git providers;
- Problem analyzers that fix common errors;
- Pre-deployment validations;
- Scheduled deployments;
- Full deployment history;
- Deployment rollback; and
- Ability to clone, combine and redeploy packages

The Compare and Deploy Teams licenses that renewed in January 2026 will co-term to cover the term of January 10, 2026 – March 10, 2026. All licenses will then be renewed to cover the term of March 11, 2026 – March 10, 2027.

4.2 The Contractor must provide a quantity of one (1) Gearset Automation Enterprise License. The Automation Enterprise license provides tools for building a CI/CD pipelines for Salesforce, including:

- Configurable continuous integration jobs for automated deployments;
- CI/CD pipeline visualization and management;
- Automated pull requests to adjacent environments;
- Merge conflict resolution;
- Built-in testing and static code analysis;
- Monitoring to detect changes in production;
- Automated unit testing of production code;
- APIs for automation, audit and reporting; and
- Integration with all major Git providers and ticketing tools

The Gearset Automation Enterprise License that renewed in January 2026 will co-term to cover the term of January 10, 2026 – March 10, 2026. The license will then be renewed to cover the term of March 11, 2026 - March 10, 2027.

4.3 The Contractor must provide a quantity of one (1) Gearset for Salesforce Industries License. The Gearset for Salesforce Industries license provides dedicated deployment solutions for Salesforce Industries tools, including:

- Compare and deploy configuration data in the same way as metadata;
- One audit trail for all deployments;
- Specific dependency and problem analysis for Vlocity; and
- Include configuration data in DevOps workflows

This license will be renewed to cover the term of March 11, 2026 - March 10, 2027.

Bidders must submit quote for all items on **Attachment 8 - Cost Proposal Response Form**.

Section 5: Proposal Requirements

5.1 Overview of Proposal Format

Interested Bidders must submit a complete proposal that is comprised of three (3) separate proposals: Minimum Qualifications, Cost Proposal, and Administrative Proposal:

- **Minimum Qualifications** – The minimum requirements include submission of **Attachment 7 - Minimum Bidder Qualifications Form**.
- **Cost Proposal** – The Cost Proposal is a list of the Contractor fees associated with the Services to be provided as described in Section 4 of this IFB.
- **Administrative Proposal** – The Administrative Proposal contains standard requirements the Contractor must agree to, information requested by the Department in connection with these requirements, and additional forms to be completed by the Contractor.

5.2 Minimum Qualifications Requirements

The Minimum Qualifications submission include **Attachment 7 - Minimum Bidder Qualifications Form** and outlines how the Bidder meets all the requirements of Section 3 Minimum Bidder Qualifications.

5.3 Cost Proposal Requirements

The Cost Proposal sets forth the costs for the requested Services. The Cost Proposal should be complete, accurate, well-documented, and prepared using the Cost Proposal Quote Form only. Cost information is **not** to be included in the Administrative Proposal. All prices must be inclusive of all costs necessary for proper performance under the Contract.

Bidders must sign and submit **Attachment 8 - Cost Proposal Response Form**. The rates quoted by the Bidder must be for the duration of the Contract, and inclusive of all costs including travel. The Cost Proposal Response Form is to be submitted at the same time as the remainder of the Bidder's submission but in a document detached from the Administrative Proposals. **No changes, edits or additional information are permitted on Attachment 8, as they may make the Bid non-responsive.**

5.4 Administrative Proposal Requirements

The following must be completed and submitted with the bid in the Administrative Proposal. **Failure to submit any of the requirements below may result in the rejection of the Bidder's Proposal.**

- **Attachment 1: Bidder Submission Checklist**

- **Attachment 2: Bidder Information and Attestation:** The Bidder Information and Attestation is an integral part of the Proposal and should be signed and submitted with the Administrative Proposal. The Bidder Information and Attestation should be signed by an individual authorized to contractually bind the Bidder. A proposal with an unsigned Bidder Information and Attestation page may be rejected. Modified forms will not be accepted.
- **Attachment 3: New York State Required Certifications Packet:** All documents in this packet should be completed, signed, and submitted with the Bidder’s Administrative Proposal. This packet includes:
 - Non-Collusive Bidding Certification Required by State Finance Law § 139-D;
 - Offerer’s Certification of Adherence to Executive Order No. 177 Regarding Anti-Discriminatory Policies and Practices;
 - Offerer’s Certification of Adherence to State Finance Law §§ 139-j and 139-k;
 - Sexual Harassment Prevention Certification Form pursuant to State Finance Law § 139L;
 - Freedom of Information Law (FOIL) Redaction Request Form;
 - Offerer’s Certification of Adherence to Executive Order No. 16 Prohibiting State Agencies and Authorities from Contracting with Businesses Conducting Business in Russia;
 - Offerer Assurance of No Conflict of Interest or Detrimental Effect; and
 - Gender-Based Violence and the Workplace Certification.
- **Attachment 4: MWBE and Equal Employment Opportunities Requirements:** New York Executive Law Article 15-A Requirements. Complete and submit the following with the Administrative Proposal:
 - **Attachment 4.1** – Workforce Composition Form
 - **Attachment 4.2** – Contractor’s intended Utilization Plan for MWBE subcontractor participation. The successful Contractor will be required to formally submit the Utilization Plan within three days of notification of selection.
- **Attachment 5: Vendor Responsibility Questionnaire:** If the online certification process is not used, please visit <https://www.osc.ny.gov/state-vendors/vendrep/vendor-responsibility-forms> to print out and submit a vendor responsibility questionnaire with your bid. Either online certification or a scanned copy of the completed questionnaire must accompany your Bid.

5.5 Bid Submission

Submit all Bids electronically to RFP@dfs.ny.gov. The three sections of Bids should be separate documents, complete in themselves, and clearly labeled.

All Bids must be delivered in their entirety by April 8, 2026 at 3:00 PM Eastern Time. Bids received in whole or in part after April 8, 2026 at 3:00 PM Eastern Time will not be considered.

All Bids and accompanying documentation will become the property of the Department and will not be returned. The content of each Bidder’s Bid will be held in strict confidence during the evaluation

process, and no details of any Bid will be discussed outside the evaluation process. The successful Bidder's Bid will be made part of the Contract. Therefore, an official authorized to commit the company to a Contract must sign the Bid.

The rules established for Bid content and format will be enforced. Variations from the rules prescribed herein may subject the Bidder to outright disqualification. It is in the best interest of the Bidder to become familiar with the constraints imposed on its Bid so that the evaluation process can proceed in a timely manner.

Bidders must submit via email a searchable, electronic version of their Bid. Scanned signatures are acceptable. However, Bidders must be prepared to submit an original signature to the Department, if requested. All Bids must conform to the terms set forth in the IFB, as well as the submittal requirements; extraneous terms or material deviations (including additional, inconsistent, conflicting, or alternative terms) may render the Bid non-responsive and may result in rejection of the Bid.

This IFB is not an offer and does not commit the Department to a Contract. Furthermore, the Department is not liable for any costs, in whole or in part, incurred by a Bidder in the preparation of a response to this IFB, or for any work performed prior to Contract execution.

Each Bidder's Bid shall be valid for a minimum period of at least six (6) months.

5.6 Questions and Answers

Questions regarding the IFB should be submitted on **Attachment 6 – Bidder Questions and Proposed Modifications Form** via electronic mail, no later than March 24, 2026, at 3:00 PM Eastern Time to: RFP@dfs.ny.gov.

Questions will not be accepted orally, and questions received after the deadline may not be answered.

Bidders are cautioned that any question or inquiry regarding the IFB must be written in generic terms and must **not** contain pricing information. The inclusion of specific information about a Bidder's pricing Proposal in an inquiry may result in the Bidder's disqualification.

Responses to all questions, and any changes to the IFB resulting from such questions, will be communicated via published addenda that will be posted on the Department's website on or about March 27, 2026 at: <https://www.dfs.ny.gov/procurement>.

It is the responsibility of the Bidder to check the website for any amendments, announcements, and clarifications.

5.7 Bidder Proposed Modifications to the IFB

Proposals must conform to the terms set forth in the IFB. Any proposed additional, inconsistent, conflicting, or alternative terms may render the Proposal non-responsive and may result in rejection of the Proposal. No proposed additional, inconsistent, conflicting, or alternative terms submitted on standard, pre-printed forms (including but not limited to product literature, order forms, license

agreements, contracts or other documents) that are attached or referenced with submissions will be considered part of the Proposal or resulting Contract but shall be deemed included for informational or promotional purposes only. Each proposed additional, inconsistent, conflicting, or alternative term must be specifically enumerated in writing in **Attachment 6 – Bidder Questions and Proposed Modifications Form** and specify the IFB section or appendix that Bidder proposes to modify and the justification for the modification. All proposed additional, inconsistent, conflicting, or alternative terms must be submitted during the Question-and-Answer period, as identified in this IFB’s Calendar of Events. No proposed additional, inconsistent, conflicting, or alternative terms submitted after this time will be considered. No proposed additional, inconsistent, conflicting, or alternative terms shall be incorporated into the Contract unless expressly accepted by the Department in the Question-and-Answer period. Acceptance and/or processing of a Proposal shall not constitute acceptance of proposed additional, inconsistent, conflicting, or alternative terms.

Note: Appendix A – Standard Clauses for New York State Contracts cannot be modified in any manner. Do not submit any proposed additional, inconsistent, conflicting, or alternative terms for Appendix A as they will automatically be rejected.

The Department does not indemnify Contractors.

The Department will not waive any statutory warranties.

Section 6: Proposal Evaluation

6.1 Method of Award (Best Value)

State Finance Law § 163(4)(d) mandates that a contract for services be awarded on the basis of best value to a responsive and responsible offerer. For this procurement, the Department has determined that Bidders that meet the Minimum Bidder Qualifications and will perform all services as required are best value and equate to low price. In the case of failure to execute a Contract with the selected Bidder, the Department may pursue a Contract for the services proposed by the next best-rated Bidder.

6.2 Administrative Review

The Department will review each Bid received to ensure that submissions are compliant with the requirements of this IFB. The Department reserves the right to request clarifications if it deems them necessary.

6.3 Minimum Qualifications Evaluation (Pass/Fail)

Upon completion of the Administrative Review, the Department will evaluate via consensus scoring on a pass/fail basis **Attachment 7 – Minimum Bidder Qualifications Form**. Bidders that the Department determines pass the Minimum Requirements Evaluation will be eligible to be included in the Cost Proposal Evaluation.

6.4 Cost Proposal Evaluation

Responsive bidders that pass the Minimum Bidder Qualifications Evaluation will have their Total Cost from **Attachment 8 – Cost Proposal Form** tabulated. At the discretion of the Department, the Department may request that all responsive and responsible bidders supply a best and final offer (BAFO) prior to determination of award. The responsive and responsible Bidder with the lowest Total Cost after completion of the cost evaluation will be deemed the tentative awardee.

6.5 Award

The Department expects to award one (1) Contract as a result of this IFB. However, the Department reserves the right not to award a contract, at its sole discretion.

1. Notification of selection/non-selection will be sent to Bidders by email.
2. Contract award is subject to the approval of the Office of the Attorney General and the OSC.
3. Upon Contract award, public announcements or news releases pertaining to the Contract shall not be made without the prior written consent of the Department.

6.6 Bidder Debriefing

An unsuccessful Bidder may request a debriefing within fifteen (15) calendar days from the date of the Department's letter advising that the Bidder was not selected. The debriefing will be limited to the reasons the Bidder's Bid was not selected. Debriefings will be held remotely at the discretion of the Department, or at the Department's office located at One Commerce Plaza, Suite #1850, 99 Washington Avenue, Albany, NY.

6.7 Protest Procedures

In the event an unsuccessful Bidder wishes to protest the award resulting from this IFB, the Bidder should follow the protest procedures established by OSC. These procedures can be found in Chapter XI, Section 17, of the Guide to Financial Operations (GFO), available on-line at: <http://www.osc.state.ny.us/agencies/guidelines.htm>.

Section 7: Department's Reservation of Rights

By submitting a Bid, the Bidder covenants not to make any claim for, or have any right to, damages because of any misinterpretation or misunderstanding of the specifications, or because of any misinformation or lack of information. The Department has the following prerogatives regarding Bids submitted:

- To change any of the scheduled dates upon appropriate notification to all prospective Bidders;
- To accept or reject any or all Bids, or separable portions of Bids;
- Prior to the Bid opening, to amend the IFB specifications and to direct Bidders to submit proposal modifications addressing subsequent amendments;
- To disqualify a Bidder from receiving an award if the Bidder, or anyone in the Bidder's employ,

- has previously failed to perform satisfactorily in connection with public bidding or contracts;
- To disqualify any Bidder whose conduct and/or proposal fails to conform to the requirements of the IFB;
- To correct any arithmetic errors in any or all Bids;
- To waive or modify minor technicalities, irregularities, and omissions in Bids, after notification to the Bidder;
- To waive any mandatory and/or non-material requirement(s) not met by all Bidders;
- To accept or reject illegible, incomplete or vague Bids;
- To seek clarifications of Bids;
- To adopt any part or all of a successful Bidder's Bid;
- To use any and all ideas submitted in the Proposals unless those ideas are protected by legal patent or property right;
- To consider conditional or revocable Bids that clearly communicate the terms or limitations of acceptance; and Contract award may be made in compliance with the Bidder's conditional or revocable terms in the Bid;
- To not award from this solicitation;
- To have any service completed via separate competitive Bid or other means, as determined to be in the best interest of New York State;
- To have the flexibility to consider Bids with minor deviations or technicalities and to waive minor deviations or technicalities that may be consistent with the intent and scope of the solicitation. This flexibility may permit a reasonable outcome in cases where the results of a fair, competitive process are clear, but the award of a Contract is threatened due to a minor technicality or a minor deviation;
- To break tie bids as follows: In the event two Bids are found to be substantially equivalent, price shall be the basis for determining the award recipient. If two or more Bidders submit substantially equivalent Bids as to pricing or other factors, the decision of the Department to award a Contract to one or more of such Bidders shall be final;
- To negotiate with the selected Bidder(s) prior to Contract award; and
- To begin negotiations with another Bidder should the Department be unsuccessful in negotiating a Contract with the selected Bidder(s) within thirty (30) calendar days.

Section 8: Minority & Woman-Owned Business Enterprise, EEO, SDVOB Requirements & Diversity Practices

8.1 Minority and Woman-Owned Business Enterprise

- A. The Department is required to implement the provisions of New York State Executive Law Article 15-A and Parts 140-145 of Title 5 of the New York Codes, Rules and Regulations ("NYCRR") for all State contracts, as defined therein, with a value (1) in excess of \$25,000 for labor, services, equipment, materials, or any combination of the foregoing or (2) in excess of \$100,000 for real property renovations and construction.

- B. For purposes of this solicitation, the Department hereby establishes an overall goal of **0%** for MWBE participation, **0%** for New York State-certified minority-owned business enterprise ("MBE") participation and **0%** for New York State-certified women-owned business enterprise

(“WBE”) participation (collectively, “MWBE Contract Goals”) based on the current availability of MBEs and WBEs.

- C. If there are no goals set for this solicitation, New York Certified MWBEs who are interested in subcontracting for this engagement should email the Department **Attachment 4.3 – Interested M/WBE and SDVOB Vendors** to RFP@dfs.ny.gov and the Department will publish the MWBE and/or SDVOB information for consideration by prime contractors.

8.2 Equal Employment Opportunity (EEO)

The provisions of Article 15-A of the Executive Law and the rules and regulations promulgated thereunder pertaining to equal employment opportunities for minority group members and women shall apply to the Contract resulting from this IFB.

In performing the Contract resulting from this IFB, the Contractor shall:

1. Ensure that each Contractor and Subcontractor performing work on the Contract resulting from this IFB, shall undertake or continue existing EEO programs to ensure that minority group members and women are afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability or marital status. For these purposes, EEO shall apply in the areas of recruitment, employment, job assignment, promotion, upgrading, demotion, transfer, layoff, or termination and rates of pay or other forms of compensation. This requirement does not apply to (i) work, goods, or services unrelated to the Contract resulting from this IFB; or (ii) employment outside New York State.
2. The Contractor shall submit an EEO policy statement (see **Attachment 4.2** - “Minority and Woman-Owned Business Enterprises Equal Opportunity Statement”) to the Department with its bid or proposal.

8.3 Participation Opportunities for New York State Certified Service-Disabled Veteran-Owned Businesses (SDVOBs)

Article 3 of the New York State Veterans’ Services Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses (“SDVOBs”), thereby further integrating such businesses into New York State’s economy. The Department recognizes the need to promote the employment of service-disabled veterans and to ensure that certified service-disabled veteran-owned businesses have opportunities for maximum feasible participation in the performance of Department contracts.

In recognition of the service and sacrifices made by service-disabled veterans and in recognition of their economic activity in doing business in New York State, contractors are strongly encouraged and expected to consider SDVOBs in the fulfillment of the requirements of the contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. For purposes of this procurement, the Department conducted a comprehensive search and determined that the Contract does not offer sufficient opportunities to set specific goals for participation by SDVOBs as subcontractors, service providers, and suppliers to the Contractor. Nevertheless,

Contractors are encouraged to make good faith efforts to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials. The directory of New York State Certified SDVOBs can be viewed at: <https://sdves.ogs.ny.gov/business-search>.

Contractors are encouraged to contact the Office of General Services' Division of Service-Disabled Veteran's Business Development at 518-474-2015 or VeteransDevelopment@ogs.ny.gov to discuss methods of maximizing participation by SDVOBs on the Contract.

Section 9: Ethics

Ethics Compliance: All Bidders/Contractors and their employees must comply with the requirements of Public Officers Law §§ 73 and 74, other New York State codes, rules, and regulations, and executive orders establishing ethical standards for the conduct of business with New York State. In signing the Bid, Bidder certifies full compliance with those provisions for any present or future dealings, transactions, sales, contracts, services, offers, and/or relationships, involving New York State and/or its employees. Failure to comply with those provisions may result in disqualification from the bidding process, termination of Contract, and/or civil or criminal proceedings as required by law.

Legal Compliance: The Bidder/Contractor represents and warrants that it shall secure all notices and comply with all applicable laws, ordinances, rules, and regulations of any governmental entity in conjunction with the performance of obligations under the Contract. Prior to award and during the Contract terms and any extensions thereof, Contractor must establish to the satisfaction of the Department that it meets or exceeds all requirements of the IFB and Contract and any applicable laws, including but not limited to, permits, and licensing, and shall provide such proof as required by the Department. Failure to comply or failure to provide proof may constitute grounds for the Department to terminate or suspend the Contract, in whole or in part, or to take any other action deemed necessary by the Department. Contractor also agrees to disclose information and provide affirmations and certifications to comply with State Finance Law §§ 139-j and 139-k.

Section 10: Subcontracting

The selected Contractor must assume full responsibility for the services offered in the Proposal for the duration of the Contract. The Contractor shall remain liable even when the Contractor subcontracts out a portion of the services. Subcontracting shall be permitted only with the prior written approval of the Department.

Section 11: Post Contract Award Requirements

11.1 Insurance Requirements

The selected Contractor is required to procure, at its sole cost and expense, and provide upon notice of contract award, proof of required insurance as detailed in **Appendix F – Contractor's Insurance Requirements**, and maintain in force at all times during the Contract Term, policies of insurance pursuant to the requirements outlined in **Appendix F**.

11.2 Contractor Disclosure Forms

Chapter 10 of the Laws of 2006 amended the Civil Service Law and the State Finance Law relative to maintaining certain information concerning contract employees working under State agency service and consulting contracts. State agency consultant contracts are defined as “contracts entered into by a state agency for analysis, evaluation, research, training, data processing, computer programming, engineering, environmental health and mental health services, accounting, auditing, paralegal, legal, or similar services” (“covered consultant contract” or “covered consultant services”). The amendments also require that certain contract employee information be provided to the state agency awarding such contracts, OSC, the Department, and the Department of Civil Service (“DCS”).

To meet these requirements, the selected Contractor must complete:

Form A – Contractor’s Planned Employment Form. The successful Contractor must complete this form upon notification of selection by the Department.

Form B – Contractor’s Annual Employment Report. Throughout the term of the Contract, by May 15th of each year the Contractor agrees to report the following information to the Department by e-mail at RFP@dfs.ny.gov. For each covered consultant contract in effect at any time between the preceding April 1st through March 31st fiscal year, or for the period of time such contract was in effect during such prior State fiscal year, Contractor shall report the:

1. Total number of employees employed to provide the consultant services, by employment category.
2. Total number of hours worked by such employees.
3. Total compensation paid to all employees that performed consultant services under such Contract. *

*NOTE: The information to be reported is applicable only to those employees who are directly providing services or directly performing covered consultant services. However, such information shall also be provided relative to employees of subcontractors who perform any part of the service contract or any part of the covered consultant contract. This information does not have to be collected and reported in circumstances where there is ancillary involvement of an employee in a clerical, support, organizational, or other administrative capacity.

Contractor agrees to simultaneously report such information to the DCS and the OSC as designated below:

E-mail: SubmitformB@cs.ny.gov
Department of Civil Service
Alfred E. Smith State Office Building
Albany, NY 12239
Attn: Executive Office

E-mail: CDMOST@osc.ny.gov
Office of the State Comptroller
Bureau of Contracts
110 State Street, 11th Floor
Albany, New York 12236
Attn: Consultant Reporting

Contractor is advised herein and understands that this information is available for public inspection and

copying pursuant to § 87 of the Public Officers Law (Freedom of Information Law). In the event individual employee names or social security numbers are set forth on a document, the State agency making such disclosure is obligated to redact both the names and social security numbers prior to disclosure.

Further information regarding the Contractor Consultant Law requirements and report Forms A and B is available in the OSC's Guide to Finance Operations, Chapter XI, Section 18.C: <https://www.osc.ny.gov/state-agencies/gfo/chapter-xi/xi18c-consultant-disclosure>

11.3 Sales Tax Certification Instructions

The Tax Law was amended to require contractors with State agencies to certify to the Department of Taxation and Finance ("DTF") that they, their affiliates, their subcontractors, and the affiliates of their subcontractors have a valid certificate of authority to collect New York State and local sales and compensating use taxes. Tax Law § 5-a applies to all contracts in excess of \$100,000 for the purchase by a covered agency of commodities or services awarded pursuant to Article XI of the State Finance Law.

The successful Contractor must complete Contractor Certification Form ST-220-CA upon notification of selection by the Department. This certification to the procuring agency, also made under penalty of perjury, states that the requisite (ST-220-TD) certification has been made to DTF and, to the best of the Contractor's knowledge, that the requisite (ST-220-TD) certification is correct and complete.

If Contractor has any questions regarding either forms, ST-220-CA or ST-220-TD, the OSC's Guide to Financial Operations provides background information and the forms: <https://www.osc.ny.gov/state-agencies/gfo/chapter-xi/xi18d-sales-and-compensating-use-tax-documentation>.

Contractors can refer to the DTF website, or the NYS Tax Law § 5-a, *Contractor Affiliate, Subcontractor, and Subcontractor Affiliate Sales and Compensating Use Tax Registration* for additional information and guidance.

Section 12: Additional Security/Confidentiality Requirements

The Contractor warrants, covenants and represents that, in the performance of the Contract, Contractor, its agents, subcontractors, officers, distributors, resellers, and employees will comply fully with all security procedures of the Department set forth in the IFB, **Appendix C – Information Security & Cyber Security Requirements and Appendix D – Primary Security and Privacy Mandates**, and the Contract, or otherwise communicated in advance to the Contractor, including but not limited to physical, facility, documentary and cyber security rules, procedures and protocols.

Section 13: Appendices/Attachments

- **Appendix A: Standard Clauses for New York State Contracts**
- **Appendix C: Information Security & Cyber Security Requirements**
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- **Appendix E: The Department of Financial Services Standard Contract Clauses**

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- **Attachment 1: Bidder Submission Checklist**
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- **Attachment 4: MWBE and Equal Opportunities Requirements**
- **Attachment 4.1: Workforce Composition Form**
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- **Attachment 5: Vendor Responsibility Questionnaire**
- **Attachment 6: Bidder Questions and Proposed Modifications Form**
- **Attachment 7: Minimum Bidder Qualifications**
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