An Equal Opportunity/Affirmative Action Employer

Announcement of Intention to Fill a Job Vacancy

Associate Attorney Financial Services, M-3

Location: One State Street, New York City **Business Unit: Virtual Currency**

Negotiating Unit: Management Confidential (MC)

Please note that a change in negotiating unit may affect your salary, insurance and other benefits.

Salary: The starting salary for this position is $115,283 with periodic increases up to $145,682

Positions located within the New York City metropolitan area, as well as Suffolk, Nassau, Rockland, and Westchester Counties, are also eligible to receive an additional $4,000 annual downstate adjustment.

Appointment Status: Permanent

Appointment to this position is pending Governor Appointment's Office and Division of Budget approval.

The New York State Department of Financial Services seeks to build an equitable, transparent, and resilient financial system that benefits individuals and supports business. Through engagement, data-driven regulation and policy, and operational excellence, the Department and its employees are responsible for empowering consumers and protecting them from financial harm; ensuring the health of the entities we regulate; driving economic growth in New York through responsible innovation; and preserving the stability of the global financial system.

The Department of Financial Services is seeking candidates for the position of Associate Attorney Financial Services in Virtual Currency. Applications staff in the Virtual Currency Unit within the Research and Innovation Division of the Department of Financial Services (DFS) perform tasks with increasing complexity and levels of responsibility. Staff at the SG-27 level handle applications involving more complex entities and business models, draft deficiency letters and correspondence with Applicants with little oversight, mentor and coach more junior staff, and provide recommendations to the Applications Team Lead regarding solutioning challenges and hurdles for each application. Duties include, but are not limited to, the following:

- Follows the risk-based approach in reviewing and evaluating application submissions including business risks and strategic plans, financial data, policies and procedures;
- Exercises analytical skills and independent judgment expected at the experienced level and escalates challenges, hurdles, or novel issues, as appropriate;
- Coordinates with internal and external stakeholders to facilitate application processing in an efficient and effective manner;
- Evaluates the quality and effectiveness of applicants' compliance risk management practices and determines compliance with relevant anti-money laundering (AML) laws and regulations, such as the Bank Secrecy Act (BSA), as amended to include certain provisions of Title III of the USA PATRIOT Act, and the requirements of the Office of Foreign Assets Control (OFAC) and other CTF regulations;
- Drafts deficiency letters with minimal oversight and responds to applicants' questions;
- Recommends approval, withdrawal, or denial of applications;
- Prepares application related summaries, reports, and documents covering application processing activity;
- Makes policy or process recommendations on matters identified in the application review process;
- Demonstrates knowledge of Virtual Currency Business Activity and requirements under NYCRR Parts 200, 500, 504 and other relevant laws and regulations;

- Supports, mentors, trains, guides and coaches junior staff;
- Organizes application materials and work product as required by the Unit with little oversight;
- Prepares materials and presents applicants to management committee for approval decision;
- Efficient in managing a large workload:
- Excellent oral and written communication skills;
- Excellent research and analytical skills, and strong organizational skills; and
- Other duties as assigned by management

Appointment Method:

Candidates must meet the minimum qualifications listed below in order to be eligible for appointment.

Non-Competitive:

Admission to the New York State Bar and four years of legal experience subsequent to admission to the NYS Bar. Legal experience must be in one or more of the following fields: litigation or financial industry investigations; corporate law involving such actions as corporate mergers, dissolutions, stockholder meetings and other related corporate actions; commercial financial documentation and negotiation; bank regulatory experience; insurance regulatory and legislative experience; and prosecutorial experience gained in a city, state, or federal law enforcement or administrative agency that regularly conducts civil enforcement action in financial and commercial matters.

A master's degree in law with a concentration in Banking Law, Insurance Law, Financial Services Law, Corporate Law, or international legal studies may substitute for two years of experience.

To Apply: Interested qualified candidates must submit a resume and letter of interest **no later than September 9, 2026** to the email address listed below. Please include the Box # **(Box AAFS-VC-00231)** in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Please be advised that the New York State Department of Financial Services does not offer sponsorship for employment visas.

Kara Waters
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All candidates that apply may not be scheduled for an interview.

Public Service Loan Forgiveness

Employment with New York State qualifies an individual for Public Service Loan Forgiveness (PSLF). This program forgives the remaining balance on any Direct Student Loans after you have made 120 qualifying monthly payments while working full-time for a U.S. federal, state, local, or tribal government or not-for-profit organization. To learn more about the program and whether you would qualify, please visit: https://studentaid.gov/manage-loans/forgiveness-cancellation/public-service

AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job. If the accommodation would constitute an undue hardship on the operations of the agency, the agency is not required to provide it.

All people with disabilities are encouraged to apply to all jobs for which they meet the minimum qualifications.