An Equal Opportunity/Affirmative Action Employer

Announcement of Intention to Fill a Job Vacancy

Assistant Deputy Superintendent for Examination and Monitoring
(Assistant Deputy Superintendent, NS)

Location: Albany or NYC **Business Unit: Virtual Currency**

Negotiating Unit: Management Confidential (MC)

Please note that a change in negotiating unit may affect your salary, insurance and other benefits.

Salary: $127,507 - $160,911 (salary commensurate with experience)

Positions located within the New York City metropolitan area, as well as Suffolk, Nassau, Rockland, and Westchester Counties, are also eligible to receive an additional $4,000 annual downstate adjustment.

Appointment Status: This is an appointment to a position in the exempt jurisdictional class.

Appointment to this position is pending Governor Appointment's Office and Division of Budget approval.

The New York State Department of Financial Services seeks to build an equitable, transparent, and resilient financial system that benefits individuals and supports business. Through engagement, data-driven regulation and policy, and operational excellence, the Department and its employees are responsible for empowering consumers and protecting them from financial harm; ensuring the health of the entities we regulate; driving economic growth in New York through responsible innovation; and preserving the stability of the global financial system.

The Department of Financial Services is seeking candidates for the position of Assistant Deputy Superintendent for Examination and Monitoring within Virtual Currency. Duties include, but are not limited to, the following:

- Manages teams of staff dedicated to examinations and ongoing monitoring of virtual currency entities.
- Promote, provide guidance regarding, and oversee the implementation of the risk-based approach to examinations and ongoing monitoring.
- Oversees completion of examinations and reports of examination.
- Oversees implementation of the material change request process.
- Contributes to the department's supervisory strategy.
- Oversee, assess, and continually enhance supervisory and monitoring processes and be a champion of change.
- Coordinates and collaborates with other units and divisions to ensure timely and effective execution of supervisory responsibilities in furtherance of the NYDFS's regulatory objectives.
- Supports the licensing process and the intake of newly licensed entities into the examination and monitoring program.
- Participates in the training, mentoring, and coaching of department staff.
- Stay abreast of trends in virtual currency markets, including the development of new products and services, virtual currency linked investments, the underlying technologies, data privacy, anti-money laundering initiatives, and update stakeholders and agency management on a periodic basis;
- Travel may be required up to 25% of the time; and
- Other duties as assigned.

Preferred Qualifications

- At least 14 years of relevant work experience;
    - Substitutions: Associate degree may substitute for two years of specialized experience; bachelor's degree may substitute for four years of specialized experience; master's degree may substitute for five years of specialized experience; J.D. may substitute for six years of specialized experience; Ph.D. may substitute for seven years of specialized experience;
- Five years of the experience must have been at a managerial level;
- Strategic planning:
- Virtual currency;
- BSA/AML, OFAC, and related laws, regulations, and best practices;
- Cybersecurity laws, regulations, and issues;
- Internal controls/governance;
- Financial analysis;
- Accounting

Appointment Method:

This is an appointment to a position in the exempt jurisdictional class. As such, the incumbent of this position would serve at the pleasure of the appointing authority.

To Apply: Interested qualified candidates must submit a resume and letter of interest **no later than September 14, 2026** to the email address listed below. Please include Box# **(Box ADSEM-ADS-10461)** in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Please be advised that the New York State Department of Financial Services does not offer sponsorship for employment visas.

Kara Waters
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Fax: (518) 402-5071

All candidates that apply may not be scheduled for an interview.

Public Service Loan Forgiveness

Employment with New York State qualifies an individual for Public Service Loan Forgiveness (PSLF). This program forgives the remaining balance on any Direct Student Loans after you have made 120 qualifying monthly payments while working full-time for a U.S. federal, state, local, or tribal government or not-for-profit organization. To learn more about the program and whether you would qualify, please visit: https://studentaid.gov/manage-loans/forgiveness-cancellation/public-service

AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job. If the accommodation would constitute an undue hardship on the operations of the agency, the agency is not required to provide it.

All people with disabilities are encouraged to apply to all jobs for which they meet the minimum qualifications.