An Equal Opportunity/Affirmative Action Employer

Announcement of Intention to Fill a Job Vacancy

Senior Deputy Superintendent for Consumer Protection and Financial Enforcement Division (CPFED), (Director Financial Services Programs 3), NS

Location: Albany or NYC **Business Unit: CPFED**

Negotiating Unit: Management Confidential (MC)

Please note that a change in negotiating unit may affect your salary, insurance and other benefits.

Salary: $172,787 - $213,995 (salary commensurate with experience)

Positions located within the New York City metropolitan area, as well as Suffolk, Nassau, Rockland, and Westchester Counties, are also eligible to receive an additional $4,000 annual downstate adjustment.

Appointment Status: This is an appointment to a position in the exempt jurisdictional class.

Appointment to this position is pending Governor Appointment's Office and Division of Budget approval.

The New York State Department of Financial Services seeks to build an equitable, transparent, and resilient financial system that benefits individuals and supports business. Through engagement, data-driven regulation and policy, and operational excellence, the Department and its employees are responsible for empowering consumers and protecting them from financial harm; ensuring the health of the entities we regulate; driving economic growth in New York through responsible innovation; and preserving the stability of the global financial system.

The Department of Financial Services is seeking candidates for the position of Senior Deputy Superintendent for CPFED. This position will assist the Executive Deputy Superintendent for CPFED in the administration of the Division. The incumbent will work closely with the Executive Deputy and other CPFED staff to ensure effective development and implementation of agency strategy and operations of programs. Duties include, but are not limited to, the following:

- Serves as advisor to the Executive Deputy Superintendent for CPFED on strategic and operational matters;
- Assists in coordinating agency activities with internal and external parties;
- Assists in coordinating CPFED's operation and policy, including by advising the Executive Deputy Superintendent on various operation and policy issues;
- Assists in setting the strategic direction for CPFED and ensuring the incorporation of the Executive Deputy Superintendent's philosophy and vision;
- Recommends changes to respond to issues facing the agency or to enhance operational efficiency;
- Assists in the coordination of information flow between the Executive Deputy Superintendent and staff;
- Follows up with staff on issue resolution and implementation of decisions;
- Gathers information on various issues from staff and briefs the Executive Deputy Superintendent on such issues;
- Effectuates execution of agency initiatives;
- Liaises with various external groups on important issues and works cooperatively with them on matters of mutual interest;
- Oversees special projects to enable the Executive Deputy Superintendent to successfully carry out the mission of the agency;
- Identifies problems and proposes solutions to correct such problems;
- Drafts a variety of documents for the Executive Deputy Superintendent;

- Represents the Executive Deputy Superintendent at various events; and
- Other duties as assigned.

Preferred Qualifications

- Admission to the New York State bar in good standing and at least 8 years of post-bar legal experience in the financial services industry.

Appointment Method:

This is an appointment to a position in the exempt jurisdictional class. As such, the incumbent of this position would serve at the pleasure of the appointing authority.

To Apply: Interested qualified candidates must submit a resume and letter of interest **no later than September 30, 2026** to the email address listed below. Please include Box# **(Box SDS-CPFED-09159)** in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Please be advised that the New York State Department of Financial Services does not offer sponsorship for employment visas.

Nate Keiper
Box SDS-CPFED-09159
New York State Department of Financial Services
Office of Human Resources Management
One Commerce Plaza, Suite 301
Albany, NY 12257
Email: NCE.Notifications@dfs.ny.gov
Fax: (518) 402-5071

All candidates that apply may not be scheduled for an interview.

Public Service Loan Forgiveness

Employment with New York State qualifies an individual for Public Service Loan Forgiveness (PSLF). This program forgives the remaining balance on any Direct Student Loans after you have made 120 qualifying monthly payments while working full-time for a U.S. federal, state, local, or tribal government or not-for-profit organization. To learn more about the program and whether you would qualify, please visit: https://studentaid.gov/manage-loans/forgiveness-cancellation/public-service

AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job. If the accommodation would constitute an undue hardship on the operations of the agency, the agency is not required to provide it.

All people with disabilities are encouraged to apply to all jobs for which they meet the minimum qualifications.