An Equal Opportunity/Affirmative Action Employer

Announcement of Intention to Fill Multiple Job Vacancies

Senior Enforcement Counsel
(Assistant Counsel, NS)

Location: Albany or New York City **Business Unit: Civil Investigations Unit**

Negotiating Unit: Management Confidential (MC)

Please note that a change in negotiating unit may affect your salary, insurance and other benefits.

Salary: $120,471 - $152,238 (salary commensurate with experience)

Positions located within the New York City metropolitan area, as well as Suffolk, Nassau, Rockland, and Westchester Counties, are also eligible to receive an additional $4,150 annual downstate adjustment.

Appointment Status: This is an appointment to a position in the exempt jurisdictional class.

Appointment to this position is pending Governor Appointment's Office and Division of Budget approval.

The New York State Department of Financial Services seeks to build an equitable, transparent, and resilient financial system that benefits individuals and supports business. Through engagement, data-driven regulation and policy, and operational excellence, the Department and its employees are responsible for empowering consumers and protecting them from financial harm; ensuring the health of the entities we regulate; driving economic growth in New York through responsible innovation; and preserving the stability of the global financial system.

The Department of Financial Services is seeking candidates for the position of Assistant Counsel in the Civil Investigations Unit. Duties include, but are not limited to, the following:

- Leads and works on investigations of potential violations of the Financial Services, Insurance, and Banking laws, and other state and federal laws; work includes requesting and reviewing productions, legal research, evaluating evidence, taking testimony, negotiating settlements with opposing counsel, and preparing for and conducting administrative hearings;
- Investigates complaints filed with the Department by consumers and consumer groups and recommends appropriate action;
- Proposes and develops new areas and subjects for investigation;
- Reviews proposed legislation and regulations and the implementation of passed legislation and adopted regulations;
- Provides legal advice on matters regarding regulatory actions and develops legal approaches to accomplish various departmental objectives;
- Conducts special studies and research about issues and programs of interest to the Division and prepares reports and recommendations as assigned; and
- Other duties as assigned.

<u>Preferred Qualifications</u>

- Admission to the New York State bar in good standing;
- At least 4 years of post-bar legal experience in the finance or banking industry;

Appointment Method:

This is an appointment to a position in the exempt jurisdictional class. As such, the incumbent of this position would serve at the pleasure of the appointing authority.

To Apply: Interested qualified candidates must submit a resume and letter of interest **no later than October 30, 2026** to the email address listed below. Please include Box# **(Box AC-CIV-00213)** in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Please be advised that the New York State Department of Financial Services does not offer sponsorship for employment visas.

Nate Keiper
Box AC-CIV-00213
New York State Department of Financial Services
Office of Human Resources Management
One Commerce Plaza, Suite 301
Albany, NY 12257
Email: NCE.Notifications@dfs.ny.gov
Fax: (518) 402-5071

All candidates that apply may not be scheduled for an interview.

Public Service Loan Forgiveness

Employment with New York State qualifies an individual for Public Service Loan Forgiveness (PSLF). This program forgives the remaining balance on any Direct Student Loans after you have made 120 qualifying monthly payments while working full-time for a U.S. federal, state, local, or tribal government or not-for-profit organization. To learn more about the program and whether you would qualify, please visit: https://studentaid.gov/manage-loans/forgiveness-cancellation/public-service

AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job. If the accommodation would constitute an undue hardship on the operations of the agency, the agency is not required to provide it.

All people with disabilities are encouraged to apply to all jobs for which they meet the minimum qualifications.