An Equal Opportunity/Affirmative Action Employer

Announcement of Intention to Fill a Job Vacancy

Associate Attorney Financial Services, M-3

Location: Albany or New York City

Business Unit: Investigations & Intelligence Unit - CPFED

Negotiating Unit: Management Confidential (MC)

Please note that a change in negotiating unit may affect your salary, insurance and other benefits.

Salary: The starting salary for this position is $120,471 with periodic increases up to $152,238

Positions located within the New York City metropolitan area, as well as Suffolk, Nassau, Rockland, and Westchester Counties, are also eligible to receive an additional $4,150 annual downstate adjustment.

Appointment Status: Contingent Permanent

Appointment to this position is pending Governor Appointment's Office and Division of Budget approval.

The New York State Department of Financial Services seeks to build an equitable, transparent, and resilient financial system that benefits individuals and supports business. Through engagement, data-driven regulation and policy, and operational excellence, the Department and its employees are responsible for empowering consumers and protecting them from financial harm; ensuring the health of the entities we regulate; driving economic growth in New York through responsible innovation; and preserving the stability of the global financial system.

The Department of Financial Services is seeking candidates for the position of Associate Attorney Financial Services in the Intelligence & Investigations Unit within the Consumer Protection & Financial Enforcement Division. Duties include, but are not limited to, the following:

- Conducts thorough due diligence research in connection with DFS license applicants for student loan servicing, money services, banking and cryptocurrency business, focusing on the applicants' control parties, officers, directors, and related companies;
- Researches and analyzes case law, litigation dockets and their contents, and other public records, including enforcement actions, sanctions, and news articles;
- Reviews UCC filings, liens, judgments and bankruptcy filings, and other relevant filings;
- Issues concise and clearly written memoranda applying analysis to relevant findings to support the recommendations upon which the business units of the Department may rely for their licensing decisions;
- Assists in reviewing the work of less experienced staff
- Participates in the investigations of cybersecurity incidents reported by regulated entities in connection with the Department's Cybersecurity Compliance Regulation, 23 NYCRR Part 500, drafting concise memoranda of findings from each inquiry; and
- Other duties as assigned.

Preferred Qualifications

- Demonstrated experience in legal research and writing.
- Demonstrated interest in learning about cybersecurity compliance in connection with the Department's first of its kind cybersecurity regulation, Part 500.

Appointment Method:

Candidates must meet the minimum qualifications listed below in order to be eligible for appointment.

Non-Competitive: Admission to the New York State Bar and four years of legal experience subsequent to admission to the NYS Bar. Legal experience must be in one or more of the following fields: litigation or financial industry investigations; corporate law involving such actions as corporate mergers, dissolutions, stockholder meetings and other related corporate actions; commercial financial documentation and negotiation; bank regulatory experience; insurance regulatory and legislative experience; and prosecutorial experience gained in a city, state, or federal law enforcement or administrative agency that regularly conducts civil enforcement action in financial and commercial matters.

A master's degree in law with a concentration in Banking Law, Insurance Law, Financial Services Law, Corporate Law, or international legal studies may substitute for two years of the experience.

To Apply: Interested qualified candidates must submit a resume and letter of interest **no later than October 30, 2026** to the email address listed below. Please include the Box # **(Box AAFS-08007)** in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Please be advised that the New York State Department of Financial Services does not offer sponsorship for employment visas.

Nate Keiper
Box AAFS-08007
New York State Department of Financial Services
Office of Human Resources Management
One Commerce Plaza, Suite 301
Albany, NY 12257
Email: NCE.Notifications@dfs.ny.gov
Fax: (518) 402-5071

All candidates that apply may not be scheduled for an interview.

Public Service Loan Forgiveness

Employment with New York State qualifies an individual for Public Service Loan Forgiveness (PSLF). This program forgives the remaining balance on any Direct Student Loans after you have made 120 qualifying monthly payments while working full-time for a U.S. federal, state, local, or tribal government or not-for-profit organization. To learn more about the program and whether you would qualify, please visit: https://studentaid.gov/manage-loans/forgiveness-cancellation/public-service

AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job. If the accommodation would constitute an undue hardship on the operations of the agency, the agency is not required to provide it.

All people with disabilities are encouraged to apply to all jobs for which they meet the minimum qualifications.